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IN THE MATTER OF:

Docket No.

Determination Of Cable

14-Crb-0010-CD

ROYALTY FUNDS

(2010-2013)COPYRIGHT ROYALTY BOARD

OPEN SESSIONS

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1	UNITED STATES COPYRIGHT ROYALTY GODGES
2	The Library of Congress
3	X
4	IN THE MATTER OF:)
5) Docket No.
6	DETERMINATION OF CABLE) 14-CRB-0010-CD
7	ROYALTY FUNDS) (2010-2013)
8	X
9	BEFORE: THE HONORABLE SUZANNE BARNETT
10	THE HONORABLE JESSE M. FEDER
11	THE HONORABLE DAVID R. STRICKLER
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13	Library of Congress
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15	101 Independence Avenue, S.E.
16	Washington, D.C.
17	March 16, 2018
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23	Reported by: Karen Brynteson, RMR, CRR, FAPR
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1	PROCEEDINGS
2	(9:09 a.m.)
3	JUDGE BARNETT: Good morning. Please
4	be seated. We survived the Ides of March and
5	now we are at Saint Patrick's Day eve and it's
6	snowing. What more can we ask for? It's
7	Friday and we're in a hearing.
8	Mr. Olaniran, you're calling a new
9	witness?
10	MR. OLANIRAN: Yes, Your Honor,
11	Program Suppliers call Mr. Howard Horowitz.
12	JUDGE BARNETT: Mr. Horowitz, if you
13	could please stand and raise your right hand.
14	Whereupon
15	HOWARD HOROWITZ,
16	having been first duly sworn, was examined and
17	testified as follows:
18	DIRECT EXAMINATION
19	BY MR. OLANIRAN:
20	Q. Good morning, Mr. Horowitz. Would you
21	please state your full name for the record.
22	A. My name is Howard Horowitz.
23	Q. And would you please provide a brief
24	summary of your educational background.
25	A. I have a Bachelor's degree from NYU, a

- degree in psychology, and a Master's degree in
- 2 political science, also from NYU graduate
- 3 school.
- Q. And NYU would be New York University?
- 5 A. New York University in Manhattan.
- 6 Well, the first degree in the Bronx and second
- 7 degree in Manhattan.
- 8 Q. Thank you. And who's your employer?
- 9 A. Horowitz Associates, Inc., also known
- 10 as Horowitz Research.
- 11 Q. And what position do you hold at
- 12 Horowitz Research?
- 13 A. I'm the president of Horowitz
- 14 Research.
- 15 Q. And what are your duties as president
- 16 of Horowitz Research?
- 17 A. I manage the entire operation, which
- 18 essentially has three elements, research
- 19 operations, which is design and writing of
- 20 surveys, the account-facing team that sells our
- 21 research services, and the finances of the
- 22 company.
- 23 Q. And how long has your company been in
- 24 existence?
- 25 A. The company, since July 1985. So it

- 1 would make it almost 33 years.
- Q. Okay. And could you tell us a little
- 3 bit about what the company does.
- 4 A. The company does survey and other
- 5 forms of primary research for marketing and
- 6 other operating companies.
- 7 Q. Okay. And in terms of your personal
- 8 subject matter expertise, what is that?
- 9 A. Personal subject matter is, again,
- 10 surveys and other forms of primary research,
- 11 for example, focus groups. I'm a focus group
- 12 moderator. And the where I've done my most
- 13 work, subject matter-wise, is in the cable
- 14 television and programming industries.
- 15 Q. And could you tell us a little bit
- 16 about how Horowitz Research was launched.
- 17 A. Horowitz Research was launched
- 18 following a stint at Opinion Research
- 19 Corporation, a division of Arthur D. Little, at
- 20 the time in the early '80s. And I was
- 21 commissioned during that tenure to conduct what
- 22 has become known as is NCTA segmentation study,
- 23 which was basically to prove the value of new
- 24 cable content in the competitive world with
- 25 broadcast television; in other words, would

- 1 consumers pay for new forms of television when
- their current television services are free?
- 3 O. Okay. And you mentioned the acronym
- 4 NCTA. And what is that?
- 5 A. That's the National Cable Television
- 6 Association.
- 7 Q. Thank you. And in what industries --
- 8 I know you mentioned, I think, cable industry.
- 9 A. Yeah.
- 10 Q. What other industries have you
- 11 conducted research?
- 12 A. Well, we have -- our main focus is on
- 13 the cable and programming and technology
- 14 industries related to video technology, but
- 15 we've also done work for many different
- 16 industries; hospitality, CPG, consumer
- 17 products, travel, et cetera.
- 18 Q. And in what -- what types of areas do
- 19 you do research work?
- 20 A. We do research in customer
- 21 satisfaction and service, in marketing. In the
- 22 case of the cable industry, in subscriber
- 23 acquisition and retention. In the case of the
- 24 content industry, in distribution of content.
- 25 Mostly focused on consumers, but often also

- 1 surveys of cable operators in terms of what
- their interest is in carrying channels.
- 3 Q. And I know that you personally in your
- 4 company performed survey research. What is the
- 5 -- could you give us a sense of the full
- 6 complement of services that your company
- 7 provides?
- 8 A. Our company provides survey research
- 9 services, including design, consulting on
- 10 what's needed, on analysis and reporting, and
- 11 on presentation.
- 12 Q. Okay. And you mentioned working with
- 13 cable systems. What kind of work have you done
- 14 with cable systems?
- 15 A. Cable systems, we've done, again,
- 16 customer service, marketing, subscriber
- 17 acquisition, technology adoption.
- 18 Q. And these are all survey-related work?
- 19 A. All survey and other forms of primary
- 20 research like -- like focus groups.
- 21 Q. Have you done any work for cable
- 22 networks?
- 23 A. I've done a lot of work for cable
- 24 networks.
- 25 Q. And what kind of work, survey research

- 1 also?
- 2 A. Survey research, mostly for their
- distribution teams, but also a lot for their
- 4 content development teams.
- 5 Q. And have you done any work for
- 6 television broadcast -- broadcast television
- 7 clients?
- 8 A. Yes, I have done work for broadcast
- 9 clients.
- 10 Q. And -- and what kind of work did you
- 11 do for television clients?
- 12 A. Television viewing, content
- development, and even positioning in a cable
- 14 environment that in the past 20 years was new
- 15 to the broadcast industry.
- 16 Q. And in terms of cable system operator
- 17 clients, who are your typical clients that you
- 18 do survey research work for?
- 19 A. In terms of cable systems, over the
- 20 course of 30 years, I think literally, not just
- 21 virtually, all cable operators have been
- 22 clients, but including Comcast, Time Warner in
- its day, Charter, Cox Communications, RCN, and
- 24 many, many others.
- 25 Q. With respect to cable networks, what

- 1 kinds of clients have you had in the cable
- 2 network industry?
- 3 A. Again, having done that NCTA
- 4 segmentation study, our services have been in
- 5 high demand by distribution teams of almost all
- 6 -- almost all networks, including AMC, HBO,
- 7 ESPN, MTV, and I'm now sort of going to now
- 8 list -- trying to remember the list of all the
- 9 cable networks in the industry.
- 10 O. That's fine. And with regard to
- 11 broadcast television stations, what broadcast
- 12 stations have you done work for?
- 13 A. We've done a lot of work for ABC; a
- 14 lot of work for NBC; a lot of work for
- 15 Telemundo, which is now part of NBC; and a lot
- 16 of work for Univision.
- 17 Q. And most of the research work that
- 18 you've done for all these various clients, were
- 19 you the lead person in all of these
- 20 engagements?
- 21 A. I have been the lead person in almost
- 22 all engagements from the launch of the company.
- 23 I'm the lead person as head of my company now
- in the past ten years with a full staff taking
- intermediate positions running these studies.

- 1 Q. Okay. And in the survey research over
- the years that you have been involved in,
- 3 survey research, could you please describe the
- 4 range of experience that you personally have
- 5 had in your involvement with survey research?
- 6 A. My experience runs the gamut of --
- 7 from the beginning meeting with clients to
- 8 develop their objectives, to understand their
- 9 needs, from writing the proposal, from having
- 10 been awarded the project, from writing the
- 11 questionnaire, to then writing reports about
- 12 the data from the research. And I've even
- done -- been on an interviewing team actually
- 14 doing the interviewing.
- 15 O. That's actual field work; is that what
- 16 you mean?
- 17 A. Yeah.
- 18 Q. Okay, thank you.
- 19 And just prior to founding Horowitz
- 20 Research, what did you do?
- 21 A. I worked for Opinion Research
- 22 Corporation and founded what was then called
- 23 the Cable Video Research Center.
- O. Okay. And what is Cablevision --
- 25 Cable Video Research Center and what were your

- 1 responsibilities at that --
- 2 A. The Cable Video Research Center, I was
- 3 asked to head up -- and, since Opinion Research
- 4 Corporation, Arthur D. Little had cable
- 5 television on their strategic list at the time,
- and they were looking for consultants who had
- 7 experience in that area, and I was tasked with
- 8 developing a practice in doing research for
- 9 cable operators and cable networks.
- 10 Q. And prior to Cable Video Research
- 11 Center, where were you?
- 12 A. I worked at a political consulting
- 13 company called Dresner, Morris & Tortorello.
- 14 Q. And what were your responsibilities at
- 15 Dresner Morris?
- 16 A. There I was an analyst. Mostly handed
- 17 cross tabs and asked to write a report about
- 18 the contents of those cross tabs based on the
- 19 surveys they had done.
- 20 Q. Since founding Horowitz Research, how
- 21 many surveys would you say -- estimate you have
- 22 been involved in?
- A. A large number, 20 to 30 a year for 30
- 24 years. That would be in the high hundreds,
- 25 surveys.

- 1 Q. And prior to Horowitz Research, while
- 2 you were at -- I think it's CVRC, and how many
- 3 surveys would you estimate?
- 4 A. Similar number per year. If I was
- 5 there three years, so 100.
- Q. Do you have any valuation experience?
- 7 A. Quite a bit of valuation experience
- 8 for cable system operators throughout the
- 9 years.
- 10 Q. And would you please describe the
- 11 nature of the -- of your experience.
- 12 A. Yes. In developing channels and
- 13 packages of channels and bundles of channels
- 14 and bundles of services, we were asked -- often
- 15 asked to come in and help with pricing and
- 16 valuation work.
- 17 O. Okay. And do you have a more detailed
- information about your experience as part of
- 19 the report you provided in this proceeding?
- 20 A. I'm sorry?
- 21 Q. Do you have -- do you have a more
- 22 detailed information about the experience,
- 23 about your experience -- did you produce --
- 24 strike that.
- 25 Did you -- did you produce much more

- 1 information attached to the report that you
- 2 produced in this proceeding?
- 3 A. Did I work on the reports that --
- 4 Q. Did you produce additional information
- 5 about your background attached to your report
- 6 in this proceeding?
- 7 A. There are -- there's information about
- 8 my background attached to the reports.
- 9 Q. Okay, thank you.
- 10 MR. OLANIRAN: Your Honor, I offer
- 11 Mr. Horowitz as an expert in market research,
- 12 including survey research, applied market
- analysis, and valuation in cable and broadcast
- 14 television programming industries.
- 15 JUDGE BARNETT: No objection,
- 16 Mr. Horowitz is so qualified.
- 17 BY MR. OLANIRAN:
- 18 Q. Mr. Horowitz, what were you asked to
- 19 do in this proceeding?
- 20 A. In these proceedings, I was asked to
- 21 replicate the methods and procedures of the
- 22 Bortz 2004-'05 survey. I was asked to evaluate
- the questionnaire used in those proceedings and
- 24 make any improvements that seemed called for.
- I was also asked to evaluate the

- 1 2010-2013 Bortz questionnaire and survey
- 2 process.
- 3 Q. Were you asked to respond to any
- 4 written reports provided by any witnesses in
- 5 this proceeding?
- A. Yes, I was asked to read and respond
- 7 to reports by other witnesses in this
- 8 proceeding.
- 9 Q. Okay. And did you prepare written
- 10 reports of your findings?
- 11 A. Yes, I did.
- 12 Q. Would you please -- you should have a
- 13 binder in front of you with -- a black binder
- 14 with a green cover. Do you see that?
- 15 A. Yes, I do.
- 16 Q. And would you please turn to
- 17 Exhibit 6012.
- 18 A. 60 --
- 19 Q. -- 12.
- 20 A. 6012. Okay.
- 21 Q. Do you see that?
- 22 A. Yes.
- 23 Q. Now would you please identify the
- 24 document?
- 25 A. Direct testimony of Howard Horowitz

- 1 dated December 22nd, 2016, corrected April
- 2 25th, 2017.
- 3 Q. Would you please turn next to
- 4 Exhibit 6013.
- 5 A. I'm there.
- 6 Q. And would you please identify that
- 7 document.
- 8 A. This is rebuttal testimony of Howard
- 9 Horowitz, September 15th, 2017.
- 10 Q. And do you have any corrections to
- 11 either Exhibit 6012 or 6013?
- 12 A. No.
- 13 Q. And do you declare Exhibits 6012 and
- 14 6013 to be true and correct and of your
- 15 personal knowledge?
- 16 A. Yes.
- 17 Q. Thank you. You testified earlier that
- 18 you prepared a survey for this proceeding, so
- 19 I'd like to focus on the survey that you
- 20 prepared for this proceeding. Okay?
- 21 A. Okay.
- Q. And just for the sake of convenience,
- or it's probably well established in this
- 24 proceeding, I have referred to your survey as
- 25 the Horowitz survey.

- 1 A. Okay.
- Q. So that's your claim to fame in this
- 3 proceeding, all right? And what was the
- 4 purpose of your -- of the Horowitz survey?
- 5 A. The Horowitz survey was to replicate
- 6 the Bortz methods and procedures and to make
- 7 improvements to that -- to that survey. And
- 8 then, of course, field it, get the results.
- 9 O. And which Bortz survey served as the
- 10 basis for your valuation and improvement and
- 11 then ultimately fielding that survey?
- 12 A. The 2004-2005 Bortz survey.
- 13 Q. And what is your general understanding
- of the purpose of the 2004-2005 Bortz survey?
- 15 A. My understanding of the purpose of the
- 16 survey is to assess the relative market value
- of non-network programming on distant signals.
- 18 Q. So the Horowitz survey was intended to
- 19 -- your survey instrument was intended to be
- 20 similar to the Bortz survey?
- 21 A. Is to do the same thing.
- Q. Okay. And did you design the Horowitz
- 23 survey on your own?
- A. No, it was not on my own.
- 25 Q. And who else was involved in the

- 1 development of the design of the survey?
- 2 A. Well, first, my senior staff, mostly a
- 3 woman named in Nuria Riera, our SVP, research
- 4 operations, and also other consultants.
- 5 Dr. Frankel was involved.
- 6 Q. That would be Dr. Martin Frankel?
- 7 A. Dr. Martin Frankel.
- 8 Q. Okay.
- 9 A. Dr. Rubin was involved. Also a woman
- 10 at the MPAA, Marcia Kessler, I believe was her
- 11 name.
- 12 Q. Would that be Dr. Alan Rubin; is that
- 13 right?
- 14 A. Yes.
- 15 Q. Okay. Thank you. So does the
- 16 Horowitz survey questionnaire design match the
- 17 '04-'05 Bortz survey questionnaire exactly?
- 18 A. Not exactly.
- 19 Q. And what were the differences?
- 20 A. The differences, Number 1, that we --
- oh, yes, I think there was a slide that I
- 22 produced for this.
- But the first difference was that we
- 24 had an "other sports" category.
- Q. Did you say you had a -- could you put

- 1 up slide 1, please. Thank you.
- 2 A. "Other sports" program category.
- Q. Okay.
- A. We provided a set of different warm-up
- 5 questions.
- 6 Q. Okay.
- 7 A. We provided representative program
- 8 examples. We had an automated process for
- 9 customizing questionnaires. We repeatedly
- 10 reminded our respondents that they were
- 11 evaluating their -- the actual distant signals
- on their cable system. And we reminded
- 13 respondents about WGN's blacked-out,
- 14 non-compensable programming.
- 15 JUDGE FEDER: Is there a problem with
- 16 the system?
- MS. MACE: Yes. May we have a pause
- 18 for the moment? We have some screens out on
- 19 this side of the room over here.
- 20 JUDGE BARNETT: Is this side okay?
- 21 All right. Yeah, we'll give you three or four
- 22 minutes. Excuse us.
- 23 (A recess was taken at 9:27 a.m.,
- 24 after which the trial resumed at 9:31 a.m.)
- JUDGE BARNETT: Please be seated. I'm

- 1 certain there's no correlation, but our CRB
- 2 mailbox has been shut down because of spamming
- 3 from maybe a bot with a domain that ends in
- 4 .RU.
- 5 (Laughter.)
- JUDGE BARNETT: Just saying.
- 7 Mr. Olaniran?
- 8 MR. OLANIRAN: Thank you, Your Honor.
- 9 BY MR. OLANIRAN:
- 10 Q. Mr. Horowitz, you were just
- identifying the key differences between the
- 12 2013 Horowitz survey and the '04-'05 Bortz
- 13 survey. I don't know if you got the entire
- list on record, so if we just run down the list
- one more time and then we can discuss the
- 16 details of each of them.
- 17 A. I'm sorry?
- 18 O. I said and then we can go through
- 19 discussion of the details of each of them.
- 20 A. The key differences are that the
- 21 Horowitz survey has an "other sports"
- 22 programming category, has different warm-up
- 23 questions, provides representative programming
- 24 examples for each category, provides customized
- 25 questionnaires, contains repeated reminders to

- 1 respondents of THE distant signals they are
- 2 evaluating and, when relevant, remind the
- 3 respondents regarding WGN's blacked-out
- 4 programming as non-compensable.
- 5 O. And so let's talk about each of these
- 6 differences. Could you please explain the
- 7 first bullet, which is the other sports
- 8 programming, and what exactly is that?
- 9 A. "Other sports" programming is sports
- 10 programming like NASCAR, figure skating,
- 11 professional wrestling, that is in -- belongs
- in the Program Suppliers category, and not in
- 13 the JSC category.
- 14 Q. And what was the purpose of creating
- an "other sports" programming?
- 16 A. So that our respondents could
- 17 distinguish and evaluate separately this
- 18 content from the live team and professional
- 19 college sports.
- 20 Q. And why did you think that distinction
- 21 was important?
- 22 A. Because otherwise it's reasonable to
- 23 conclude that all sports would be put into the
- JSC category, if not asked to distinguish.
- Q. And why do you say that?

- 1 A. Because it's sports, and that's the
- only place that -- the only place sports was
- 3 asked about.
- 4 Q. And let's go to the next question,
- 5 which is the warm-up question. And what did
- 6 you -- what is -- what distinguishes your
- 7 survey from the Bortz survey with regard to the
- 8 warm-up questions?
- 9 A. Well, we did our warm-up questions
- 10 differently. We had three elements in our
- 11 warm-up question. One was, while including
- 12 subscriber retention value and acquisition
- 13 value, we also made allow -- asked a question
- 14 about the importance for the cable system in
- 15 general.
- 16 We asked about, as did Bortz at that
- 17 time, value for this content for advertising
- 18 and promotion purposes. And we asked about the
- 19 importance to their subscribers of each
- 20 individual program category.
- 21 Q. Now --
- 22 A. Bortz did not.
- Q. I'm sorry. Are you finished?
- 24 A. Yes.
- 25 O. Okay. And as between your -- the

- 1 Horowitz survey and the '04-'05 Bortz survey,
- 2 did you introduce completely new warm-up
- 3 questions or did you carry over some of the
- 4 warm-up questions from the old Bortz survey?
- 5 A. We carried over the advertising
- 6 question from the Bortz survey.
- 7 Q. Okay. And could you please -- let's
- 8 go to the next bullet, which is the
- 9 representative program examples.
- 10 A. Yes.
- 11 Q. And why did you add program examples?
- 12 A. This was to focus respondents and make
- 13 sure they had a clear understanding of each
- 14 program category to avoid respondent error.
- 15 Q. In developing the questionnaire, did
- 16 you -- in reviewing the '04-'05 Bortz survey
- 17 and in developing your questionnaire, did you
- 18 have reason to believe that respondents might
- 19 be confused as to the program categories?
- 20 A. Yes, I thought that the program
- 21 categories, other than live team and college
- 22 sports, really had no substance, no labeling,
- 23 no way to know what was included in those,
- 24 precisely certainly not included in those
- 25 categories.

- 1 O. With regard to the customized
- 2 questionnaires, what exactly did that -- are
- 3 you referring to in that regard?
- 4 A. Well, in that regard, again, we
- 5 created five categories of respondents or of
- 6 systems based on the kind of signal carriage
- 7 that they had. And each one entailed different
- 8 signals and different categories of
- 9 programming.
- 10 And we wanted to be precise and
- 11 accurate about what was asked of each
- 12 respondent.
- Q. Okay. And why did you do that?
- 14 A. I'm sorry?
- 15 Q. Why did you create the five categories
- 16 of -- of programming, I think you said?
- 17 A. Right, to ensure that respondents had
- 18 the correct distant signals and program
- 19 categories in front of them when -- or were
- 20 asked about the correct ones.
- Q. When they were being asked questions
- 22 about the signals that they carried?
- 23 A. Um-hum.
- Q. Thank you. You have to say yes or no.
- 25 You can't say um-hum.

- 1 A. Oh, I'm sorry.
- Q. That's okay. You have to be clear on
- 3 the record. Thank you.
- 4 And then with regard to the next
- 5 bullet, which is the repeat reminder, could you
- 6 please explain what that is.
- 7 A. Yes, in this survey we made sure that
- 8 respondents were focused on the task at hand at
- 9 all points in the survey. And the task at hand
- was to think about the value of the programming
- on the distant signals that they carried.
- 12 And we -- from the introduction to the
- 13 survey right through every part of the survey,
- 14 we reminded them of what they were -- what they
- were doing.
- 16 Q. And the last bullet, you said,
- 17 reminded the respondents regarding the WGN's
- 18 blacked-out programming. And what was that?
- 19 A. Well, we -- we understood that when
- 20 dealing with WGN systems, there was a
- 21 difference in the fact that WGN blacked out
- 22 some of the programming they offered in, I
- 23 quess, their local -- I quess it's Chicago
- 24 market, and provided different programming on
- 25 the -- so, therefore, we did not want our

- 1 respondents to value that in the -- as
- 2 compensable programming. So we reminded them
- 3 not to value blacked-out WGN content.
- 4 Q. And you're talking about the WGN
- 5 content that was on WGNA, the national piece --
- 6 A. Yes --
- 7 Q. -- is that right?
- 8 A. -- WGNA.
- 9 Q. Thank you. Who were the survey
- 10 respondents in the Horowitz survey?
- 11 A. The survey respondents were cable
- 12 personnel or executives identified and
- 13 self-identified as responsible for channel
- 14 carriage decisions in their respective systems.
- 15 Q. Okay. And so who specifically were
- 16 you seeking to interview at the cable systems?
- 17 A. The decisionmaker for carrying these
- 18 distant signals.
- 19 Q. Okay. And how did you go about
- 20 selecting cable systems to interview?
- 21 A. We were provided the list of -- I
- think they're called Form 3 cable systems that
- 23 carried distant signals from Cable Data
- 24 Corporation. So we got that entire list and
- 25 used that.

- 1 And we also got a sample list of 300
- 2 systems fitting the requirements from --
- 3 produced by Dr. Martin Frankel.
- 4 Q. Now, was the sample drawn from the
- 5 universe --
- 6 A. From the larger universe, yes.
- 7 Q. Thank you. And so how did you
- 8 identify the appropriate individual to
- 9 interview at the cable system?
- 10 A. Well, we had a two-step process.
- 11 Considering developments that we were quite
- 12 familiar with in the industry, with
- 13 consolidation and difficulty of locating the
- 14 executive, we hired a woman named Sue Panzer, a
- 15 long-time senior cable programming executive,
- more than 20 years' experience in the business,
- 17 whose job it was to sell content to these same
- 18 executives.
- 19 And she set about the task of
- 20 identifying the -- doing the initial
- 21 identification, the initial screening process
- 22 for the correct executive. She -- when she was
- 23 satisfied, she turned that name and system over
- to our field people, and our field people
- 25 called and re-qualified them.

- 1 O. And had you worked with Ms. Panzer
- 2 before?
- 3 A. Yes, over many, many years.
- 4 O. And how does she -- what did you
- 5 instruct her to do or what does she do in
- 6 screening, in doing this initial screening of
- 7 the potential respondents?
- 8 A. This was quite an effort on Sue's part
- 9 to contact the cable operators at the corporate
- 10 level, she has been dealing with a list of
- 11 systems, asking the executives she knew, about
- 12 who was in charge of content for these
- 13 particular systems.
- 14 She often got that information
- directly and often, I presume, went directly to
- 16 that person because she already knew that that
- 17 was the correct based on her expertise and
- 18 knowing who the buyers and decisionmakers are
- 19 in cable television.
- 20 Q. And she -- so she started at the top
- 21 of the organization and they gave her direction
- 22 as to where to go in terms of the
- 23 decisionmaker; is that correct?
- 24 A. Yes, yes, if she didn't already know
- 25 where to go, which is quite possible in many

- 1 cases.
- 2 Q. And after Ms. Panzer completed her
- 3 screening exercise, what was the next step in
- 4 the --
- 5 A. The next step for our interviewers was
- 6 to call -- she provided the contact
- 7 information. And we called and attempted to
- 8 get an interview with that person.
- 9 Q. Okay.
- 10 A. And qualify them, again, on the same
- 11 criteria: Are you the decisionmaker for the
- 12 particular system we were interested?
- 13 . Q. Do you mean re-qualify them?
- 14 A. Yes, re-qualify.
- 15 O. Thank you. Now let's talk about the
- 16 survey instrument itself. Would you please go
- 17 to page 23. I'm sorry, Appendix A of
- 18 Exhibit 6012, page 23.
- 19 A. All right, I'm on page 23 of 6012.
- Q. And just take a couple of seconds just
- 21 to peruse that appendix before we start talking
- 22 about it.
- 23 A. Okay.
- Q. Are you ready?
- 25 A. Yes, I think.

- 1 Q. And can you just -- would you please
- 2 identify that document for the record.
- 3 A. This is the 2013 cable operator
- 4 questionnaire, Horowitz survey.
- 5 O. And did you use this question for all
- 6 royalty years at issue in this proceeding?
- 7 A. There was a different questionnaire
- 8 for each year, 2010, '11, '12.
- 9 O. When you say "different," do you mean
- 10 different substantively or different as to the
- 11 unique information pertaining to each year?
- 12 A. The template was exactly the same.
- 13 O. Okay.
- 14 A. The distant signals and the content
- 15 provided by those signals changed from year to
- 16 year or potentially changed from year to year.
- 17 Q. Okay. And -- but the substance of the
- 18 questionnaire from year to year remained the
- 19 same?
- 20 A. Yes, yes.
- 21 Q. And did you use hard copies of
- 22 questionnaires?
- 23 A. No, we did not use hard copy of the
- 24 questionnaires.
- 25 Q. And why didn't you?

- 1 A. Electronically programmed
- 2 questionnaires are, in almost all cases, better
- 3 in every respect in terms of accuracy, in terms
- 4 of exactly the right questionnaire being
- 5 applied to the right respondent.
- 6 Q. And how exactly did you use an
- 7 electronic questionnaire?
- 8 A. The information we got with the sample
- 9 on the categories of -- on the sample that we
- 10 received from CDC and from Dr. Frankel, we've
- 11 programmed into a computer, and so each -- each
- 12 system would call up the correct distant
- 13 signals, the correct program examples, and the
- 14 correct questionnaire relevant to that system.
- 15 O. And you talked about organization of
- 16 carriage groups earlier.
- 17 A. Yes.
- 18 Q. Is that what you're referring to here?
- 19 A. That -- we did that as well for
- 20 accuracy and efficiency, based on the
- 21 differences that would be -- the differences in
- the questionnaire that would be generated for
- 23 each group.
- 24 O. And just describe very briefly from
- 25 the interviewer's perspective, once you

- 1 identified a respondent at a cable system and
- the interviewer is about to make that phone
- 3 call or communicate with a respondent, take us
- 4 through very briefly what that process is from
- 5 the interviewer's perspective with regard to
- 6 this electronic questionnaire.
- 7 A. From the interviewer's perspective,
- 8 they knew the call that they were going to
- 9 make. I don't know if the key was a phone
- 10 number or the key was a system ID, probably the
- 11 system ID. They would enter that, and the
- 12 correct questionnaire would appear on their
- 13 screen.
- Q. Okay. So at the moment the phone call
- is going through, there's a questionnaire
- 16 pertaining specifically to that particular
- 17 respondent?
- 18 A. Yes.
- 19 Q. Would that questionnaire also have
- 20 information about the carriage information
- 21 related to that particular system?
- 22 A. Yes.
- Q. Okay. Now, let's walk through
- 24 Appendix A. Appendix A -- okay.
- Let's start with the first -- page 23,

- and can you please describe the information on
- 2 the first page.
- 3 A. Information on the first page?
- Q. On the first page of page 23.
- 5 A. Of page 23.
- 6 Q. Yes.
- 7 A. There's a top half of this page. That
- 8 would be entered or populated by the
- 9 interviewer with information about the process
- 10 that they were doing to have a record for all
- 11 concerned.
- 12 Q. Okay.
- 13 A. The bottom half starts the actual
- 14 script with a greeting to the respondent.
- 15 Q. And what's the first question?
- 16 A. The first question is on page 24.
- 17 JUDGE STRICKLER: Before you get into
- 18 the first question, sir, a question for you.
- 19 You -- in your survey, you offer an
- 20 honorarium to the participant.
- 21 THE WITNESS: Yes.
- JUDGE STRICKLER: That's different
- 23 than the Bortz survey, correct?
- 24 THE WITNESS: I don't know what
- 25 Bortz's honorarium was or --

1	JUDGE STRICKLER: If any?
2	THE WITNESS: If any. That's correct.
3	JUDGE STRICKLER: Why do you include
4	an honorarium?
5	THE WITNESS: We feel that that will
6	generate high response rates and quality,
7	interested survey respondents, and it's
8	appropriate in this in these cases.
9	JUDGE STRICKLER: Is there ever a
10	downside to offering an honorarium?
11	THE WITNESS: Ever, yes. We avoid
12	offering an honorariums related to the topic at
13	hand, like we wouldn't offer a free movie to a
14	respondent doing this survey. So that's an
15	instance where we wouldn't.
16	The other is if we thought that it
17	would introduce response bias because only
18	people who got paid would do the survey. In
19	this case, it may be that only people who would
20	get paid would do the survey, but it's a
21	homogeneous group of executives who are
22	qualified to do this. So we think just a
23	straightforward compensation would get them to
. 24	say yes to do to spend 15 minutes with us.
25	JUDGE STRICKLER: So it's basically to

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increase the participation rate?
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- THE WITNESS: That's correct.
- JUDGE STRICKLER: Thank you.
- JUDGE FEDER: What was your response
- 5 rate?
- 6 THE WITNESS: Our response rate,
- 7 across the years, was around 60 percent.
- JUDGE FEDER: And how does that
- 9 compare with the Bortz response rate?
- 10 THE WITNESS: You know, I don't know
- 11 offhand, but I think Bortz also had high
- 12 response rates in theirs.
- JUDGE FEDER: Thank you.
- 14 BY MR. OLANIRAN:
- 15 Q. You just directed me to page 24.
- 16 A. Yes.
- 17 Q. And I was asking what the first
- 18 question was on the --
- 19 A. This is the qualifying question. Are
- 20 you the person at your cable system most
- 21 responsible for programming decisions made by
- your system in 2013, in this case.
- 23 Q. And this is the re-qualifying question
- 24 you had mentioned earlier?
- 25 A. Yes.

- 1 Q. And the second question --
- 2 A. The second question -- I'm sorry.
- 3 O. Go ahead. And what is the second
- 4 question?
- 5 A. The second question provides the first
- 6 conveyance of the distant signals that we are
- 7 talking about in the survey and then
- 8 immediately asks the respondent an
- 9 introductory, a warm-up question related to
- 10 value in some sense.
- 11 We're starting to focus the respondent
- on what they're doing, on valuing the content
- on these signals. That's in question -- the
- end of Question 2, carries on to Question 3 and
- 15 4, the frame of reference there being value for
- 16 advertising, and then carries on to question 5,
- the frame of reference being importance to
- 18 subscribers.
- 19 Q. Okay. I want to ask you, now, after
- 20 question -- on page 25, right after Question 4,
- 21 you have in bold one line with lists for group
- 22 D; group A -- only ask item H. Do you see
- 23 that?
- 24 A. Yes.
- Q. Group B -- only ask item G. What is

- the significance of those instructions, I
- 2 quess?
- 3 A. Those are instructions that, again,
- 4 were pre-programmed, so they were -- all
- 5 automatically appeared for the interviewer.
- 6 They didn't see these instructions. These are
- 7 computer instructions.
- 8 And that if group A might have been
- 9 PBS-only and group B Canadian-only systems, we
- 10 only asked about using that content, not about
- 11 the others that were irrelevant to the system.
- 12 Q. Okay. So is it fair to say that
- 13 Appendix A is a composite of all of the
- 14 questions that would be asked?
- 15 A. Yes, this is a composite of all the
- 16 content and script that would appear across all
- 17 the surveys. And the computer sorted all this
- 18 complicated information after each, into a
- 19 coherent survey and screens for the
- 20 interviewer.
- O. So this is mainly to make sure that
- when the interviewer is asking a question, the
- 23 correct information about the system that's
- 24 being interviewed pops up on the screen?
- 25 A. Yes, the interviewer did not have to

- 1 stop and only ask A. The only thing that
- 2 appeared was -- was H for that.
- 3 Q. I see. And so in between page 25 and
- 4 28, are all of the different scenarios which
- 5 would pop up --
- 6 A. Yes.
- 7 Q. -- on the screen for the interviewer;
- 8 is that right?
- 9 A. That's correct.
- 10 Q. Okay. And you mentioned Question 5.
- 11 That was the last. And Question 5 is, I think
- 12 you said, another warm-up question?
- 13 A. Yes.
- Q. And what was the purpose of Question
- 15 5?
- 16 A. This was for respondents to be
- 17 thinking about value or importance to
- 18 subscribers.
- 19 Q. And, again, between page 29 and, I
- think, 32, the top of 32, are, again, all the
- 21 various options with regard to the
- 22 questionnaire; is that right?
- 23 A. Yes, through to the bottom of 32.
- 24 That is correct.
- 25 Q. And now let's go to -- now we get to

- 1 page 32. I think the next question is 6.
- 2 A. Yes.
- 3 Q. And what is Question 6?
- A. Question 6 is, finally, the constant
- 5 sum question, now being asked in the context of
- 6 all the warm-up questions.
- 7 Q. Okay. Could you please tell us about
- 8 your warm-up question.
- 9 A. I'm sorry, the warm-up?
- 10 Q. I mean, I'm sorry, the constant sum
- 11 question.
- 12 A. The constant sum question asked
- 13 directly that we want them to estimate the
- 14 relative value to your cable system of each
- 15 type of programming. We put them through a
- 16 rigorous exercise, first to write down all the
- 17 categories and then to -- the next section was
- 18 to look at all the categories and provide their
- 19 allocation of relative value.
- The frame of reference was value to
- 21 your cable system, and then we define that
- 22 frame of reference as value to your -- for
- 23 subscribers' acquisition and retention, value
- for advertising and promotion, and any other
- form of value to your cable system.

- 1 Having given that, those instructions,
- 2 they were -- they did their exercise. And
- 3 then, finally, at the end, we asked them to
- 4 review their exercise and make sure they didn't
- 5 want to do any changes.
- 6 Q. And so from page 33 all the way
- 7 through the top of 36, again, the different
- 8 options of the questionnaires depending on
- 9 which cable system you're dealing with; is that
- 10 right?
- 11 A. Yes.
- 12 Q. And then the constant sum question
- 13 continues at the top of page 6. Do you see
- 14 that?
- 15 A. Top of page --
- 16 Q. 36, I'm sorry.
- 17 A. Yes.
- 18 Q. Okay. And then if you go to the
- middle of the page 36, and that's additional
- 20 language with -- is that also -- that's also
- 21 connected to the constant sum question?
- 22 A. Yes, the additional language in
- formulating your percentage, think about all
- 24 the factors that we have been discussing, and
- 25 then -- and then conveying what we had been

- 1 discussing, yes.
- Q. Okay. And if you go on to page 40 of
- 3 Appendix A, are you there?
- 4 A. Yes, I am.
- 5 Q. Is that where you conclude your
- 6 constant sum question?
- 7 A. Yes, this is where the constant sum
- 8 question and the survey concludes.
- 9 Q. And what are you instructing the
- 10 respondents to do in that portion of the
- 11 constant sum question?
- 12 A. We asked them to review their work and
- make any changes and asked them -- we reviewed
- their work and asked them for any changes they
- 15 wanted to make.
- 16 Q. Okay. Thank you.
- 17 Are you familiar with the term "sample
- 18 dispositions"?
- 19 A. Yes.
- 20 O. And what is that?
- 21 A. That is, throughout the process and in
- 22 the end of the survey process, the status of
- 23 our interviewing in terms of -- in terms of the
- 24 process completes, refusals, no answers,
- 25 exactly what it was -- what's been happening

- 1 with the sample.
- Q. Okay. And did you present your sample
- 3 dispositions in your testimony?
- 4 A. Yes, I did.
- 5 Q. Okay. Could we take a look at that?
- 6 A. All right. Is there a page that you
- 7 can refer me to?
- 8 Q. Would you look at page 12 of
- 9 Exhibit 6012.
- 10 A. Page 12 of 6012. Yes.
- 11 Q. And could you please tell us what's in
- 12 section E titled, surprisingly, Sample
- 13 Disposition.
- 14 A. Sample disposition. Each year the
- 15 final sample disposition is presented in this
- 16 table. In reverse order, the number of systems
- 17 in -- the first row, the number of systems that
- 18 we actually completed interviewing for, out of
- 19 the total of 300 we were presented by
- 20 Dr. Frankel, and the number of executives that
- 21 we interviewed in order to get the information
- 22 on those 200 systems.
- 23 And that's presented for each year.
- 24 Q. Okay. And so for 2013, you had 200
- 25 responses from --

- 1 A. 200 systems were covered in interviews
- 2 with 38 executives.
- 3 Q. And 228 in 2012 --
- 4 A. Correct.
- 5 Q. -- with 42 executives?
- 6 A. Yes.
- 7 Q. And 182 in 2011 with 49 executives?
- 8 A. Yes.
- 9 Q. And 123 responses from 34 executives;
- 10 is that right?
- 11 A. Yes.
- 12 Q. And on average, over the four years,
- you had about 183 responses out of
- 14 approximately 41 executives; is that right?
- 15 A. Yes.
- 16 O. Okay. So, in your opinion, does the
- 17 Horowitz survey sample disposition for the 2010
- 18 through 2013 period meet generally accepted
- 19 survey standards?
- 20 A. Yes, these are generally accepted
- 21 standards or exceed, actually, generally
- 22 accepted standards.
- Q. Okay. And do you also present the
- 24 results of the survey, the overall results of
- 25 the survey, in your testimony?

- 1 A. Yes.
- Q. And would you please turn to page 16
- 3 of your survey.
- 4 A. Um-hum.
- 5 O. Of your report, rather.
- 6 A. Yes, I'm there.
- 7 Q. Are you there?
- 8 A. Yes.
- 9 Q. Okay. And there's a chart 3.2. Do
- 10 you see that?
- 11 A. I see chart 3.2.
- 12 Q. And could you please describe what
- 13 chart 3.2 is.
- 14 A. 3.2 are the weighted results of our
- 15 survey. And in each row, for each column is
- 16 the royalty year. And each row is the percent
- of value allocated by the respondents to each
- 18 category of programming.
- 19 So the first row, news and community
- 20 events in 2013, received an allocation of
- 21 9.54 percent.
- 22 Q. And if we just looked at all the
- 23 different rows, the first row is news and
- 24 community events, as you just mentioned. There
- you have syndicated series; is that correct?

- 1 A. Yes.
- 2 Q. Movies?
- 3 A. Yes.
- 4 Q. And live coverage of professional and
- 5 college team sports?
- 6 A. Yes.
- 7 Q. Then you have "other sports"
- 8 programming, right?
- 9 A. Yes.
- 10 Q. Now, is this the "other sports"
- 11 programming that you talked about as one of the
- differences between the '04-'05 Bortz and your
- 13 survey?
- 14 A. Yes.
- 15 Q. Okay. And then you have devotional
- 16 programming, programming broadcasts on public
- 17 broadcasting stations, and programming
- 18 broadcast on Canadian stations.
- 19 Do you see all that?
- 20 A. Yes.
- Q. Okay. And what were the bottom-line
- results for Program Suppliers for these years?
- 23 A. Program Suppliers' row is the total of
- the allocation for syndicated series, movies,
- and other sports programming.

- 1 Q. Okay. And could you please read the
- 2 numbers for 2010 through '13.
- 3 A. Yes, 2010 is 44.20 percent. 2011 is
- 4 39.79 percent. 2012, 37.13 percent. And 2013,
- 5 36.05 percent.
- 6 Q. Thank you.
- JUDGE BARNETT: Before we leave this
- 8 table, Mr. Horowitz, Canadian programs are only
- 9 permitted to be rebroadcast, at least under
- this compulsory license, within 150 miles of
- 11 the border, the Canadian border.
- 12 Did you do any adjustment to the
- 13 numbers -- I mean, is this .35 percent on a
- 14 national basis, and did you do anything to
- 15 adjust for the fact that those programs were
- 16 unavailable in the country outside that
- 17 150-mile zone?
- 18 THE WITNESS: We did not make any
- 19 adjustment. These were included in the sample,
- I would presume, because they were within 150
- 21 miles of Canadian border. And if that's the
- only place that they can be shown, then that's
- 23 how they're represented in this mix of cable
- 24 systems.
- JUDGE BARNETT: So you didn't ask a

- 1 station in St. Louis or Louisiana about
- 2 Canadian programming, presumably because they
- 3 were not permitted to carry Canadian
- 4 programming?
- 5 THE WITNESS: We did not.
- JUDGE BARNETT: Okay. Thank you.
- 7 BY MR. OLANIRAN:
- 8 Q. And just to clarify your responses
- 9 again, you received a sample from Dr. Frankel?
- 10 A. Yes.
- 11 Q. As to the cable systems that were in
- 12 the sample?
- 13 A. Yes.
- 14 Q. And the cable system, when you
- 15 received the information, already had the
- 16 complement of signals that they carried?
- 17 A. Yes.
- 18 O. Okay. And you just simply followed --
- 19 you went ahead with this survey based on
- 20 whatever information was on the --
- 21 A. Yes.
- 22 Q. -- on the questionnaire?
- 23 A. Yes.
- Q. I mean, I'm sorry, whatever
- information you were provided with as to the

- 1 complement of signals being carried by the
- 2 cable system?
- 3 A. Yes.
- Q. Okay. But you also, in instances
- 5 where Canadians -- when the system was carrying
- only Canadian signals or Public Television
- 7 signals or a combination of Canadian and Public
- 8 Television signals, did you also interview
- 9 those systems?
- 10 A. Yes.
- JUDGE STRICKLER: Excuse me, counsel.
- 12 I have a question for you. I know you
- 13 testified before that your purpose was to
- 14 replicate the Bortz survey and make whatever
- improvements you thought were necessary.
- 16 I'm looking at the constant sum
- 17 question, in particular, on page 36 of your
- written direct testimony counsel pointed you to
- 19 earlier. So let me know when you're there.
- 20 THE WITNESS: I'm there.
- JUDGE STRICKLER: By way of example, I
- 22 guess it's the second full paragraph, you
- 23 write, and this is the survey question,
- "Considering the value of each type of
- 25 programming to your cable system, " do you see

This is on the one with bold; it says, 1 "Do not read to PBS only." 2 3 THE WITNESS: Yes. JUDGE STRICKLER: Okay. That's just 4 to orient you to the question. And it says, 5 "Considering the value of each type of programming to your cable system, what 7 percentage, if any, of the fixed dollar amount 8 would you allocate for each type of 9 10 programming?" And when I look at the Bortz survey --11 12 and I'm looking -- just so the record is clear, it's -- Exhibit 6020 is one of the examples 13 14 that we have of it -- it says in a similar question, "Assume your system spent a fixed 15 dollar amount in 2010 to acquire all the 16 non-network programming actually broadcast" --17 I'm sorry, let me get to the next sentence, 18 that's what I really wanted. It says, "What 19 percentage, if any, of the fixed dollar amount 20 would your system have spent for each category 2.1 of programming?" 22 So the Bortz survey uses the word 23 "system." And you say, what percentage, if 24

any, of the fixed dollar amount would you

1 allocate? THE WITNESS: Yes. 2 Since your purpose 3 JUDGE STRICKLER: was to replicate the Bortz survey and then also 4 make whatever improvements you thought were 5 appropriate, did you think it was appropriate 6 and an improvement to change the word "system" 7 to the word "you"? 8 I don't recall the thought process in 9 Α. making that change, but these -- this is the 10 decisionmaker. So we asked what they would do, 11 what would you allocate. I don't see the point 12 in referencing the system in doing the 13 They're the ones doing it. 14 allocation. So that -- it just sort of was natural 15 to me, talking to you now. I don't remember 16 17 the process. JUDGE STRICKLER: You don't remember 18 it being a conscious decision, by way of 19 improvement or otherwise, to change the word 20 "system" to the word "you." 21 THE WITNESS: No, I do not remember, 22 but I do think it's an improvement. That's who 23 we're talking to. It's how you would allocate. 24

Otherwise, this is a third-party guess as to

- 1 somebody else would be making the decision,
- which is not supposed to be happening.
- JUDGE STRICKLER: Thank you.
- 4 BY MR. OLANIRAN:
- 5 Q. And I want to turn to your written
- 6 rebuttal testimony. And I think you mentioned
- 7 that you also submitted a rebuttal testimony in
- 8 this proceeding; is that right?
- 9 A. Yes.
- 10 Q. Okay. And just at a very high level,
- what were you asked to do for the purposes of
- 12 your written rebuttal testimony?
- 13 A. I was asked to compare the 2010-2013
- 14 Bortz survey to the 2004-2005 Bortz survey.
- 15 And I was also asked to compare the Horowitz
- 16 survey to the 2010-2013 Bortz survey.
- 17 Q. And so what was the purpose of
- 18 comparing the '04-'05 Bortz to the '10-'13
- 19 Bortz?
- 20 A. The purpose in comparing -- in the
- 21 testimony of the Joint Sports Claimants, that
- they were saying how this new version improved
- over the 2004-2005, and I was asked to evaluate
- those improvements or non-improvements.
- 25 Q. And so let's discuss the specific

- improvements or not so improvements, as you put
- 2 it.
- 3 And do you have a summary of the
- 4 changes that were made for -- between the
- 5 '04-'05 Bortz and the 2010 through '13 Bortz?
- A. Yes, I did produce a summary.
- 7 Q. Okay. We have a slide. Okay.
- 8 Could you first identify your
- 9 improvements and then let's talk in detail
- 10 about these?
- 11 A. As indicated on this slide, changes to
- the 2013 -- 2010-'13 Bortz survey included
- provision of a written WGN programming summary
- 14 for WGN-only systems, a limit on the number of
- 15 distant signals included in the questionnaire,
- 16 revised introductory questions, and a revised
- 17 constant sum question.
- 18 Q. And with regard to the first item,
- 19 which is the provision of WGN programming
- 20 summaries for WGN-only systems, could you
- 21 please explain what that change was, from
- 22 '04-'05 to '10 through '13 Bortz?
- 23 A. Well, in '04-'05, Bortz did not
- 24 provide any programming examples and has
- 25 indicated that they don't think any -- asking

- 1 programming examples is appropriate.
- 2 And in this case, they decided it was
- 3 necessary to provide programming examples.
- Q. Okay. Ms. Budron, would you please
- 5 put up Exhibit 1001. I was hoping we didn't
- 6 have another incident.
- 7 And would you please go to page C-5.
- 8 Are you there?
- 9 A. I see it.
- 10 Q. Okay. Would you please review that
- 11 for a second.
- 12 A. I'm sorry?
- Q. Would you please review that, and then
- 14 I have some questions about that.
- 15 A. Okay.
- 16 O. Now, is this the program summary to
- 17 which you were referring?
- 18 A. This is the program summary for 2010
- 19 that I was referring to.
- Q. And this is the WGN 2010 programming
- 21 summary; is that correct?
- 22 A. Yes.
- 23 Q. Okay.
- 24 A. WGN America.
- Q. And could you please describe briefly

- 1 what the summary is.
- 2 A. The summary lists the program
- 3 categories and provides examples under each
- 4 category, indicates the volume, how many hours
- 5 were shown, how many programs, and with
- 6 commentary about when and how they were shown.
- 7 Q. And how was this summary used in
- 8 conjunction with the Bortz survey?
- 9 A. I understand it was sent to or handed
- 10 to respondents to the survey to look at while
- 11 they were doing their value allocation.
- 12 Q. And do you understand why this change
- was made by Bortz?
- 14 A. As I understand it, they were worried
- 15 that there would be -- I don't know if it's
- 16 category confusion, but compensability
- 17 confusion on the part of the respondents and
- 18 felt the need to provide examples to keep them
- 19 from valuing anything that was not compensable.
- I think they were particularly
- 21 concerned about Program Supplier content.
- 22 Q. And do you agree that using this --
- using the WGN program summary for WGN-only
- 24 systems was an improvement to the '10 through
- 25 '13 Bortz survey?

- 1 A. The provision of this information was
- 2 not an improvement over the provision of no
- 3 information in this particular case.
- 4 Q. And why do you say that?
- 5 A. Well, it is -- it does not provide the
- 6 same kind of branded content example for
- 7 Program Supplier categories as does for live
- 8 professional team sports, which always means
- 9 what it says, in this case Cubs baseball, White
- 10 Sox baseball, Bulls baseball.
- 11 Q. And as compared to Program
- 12 Suppliers --
- 13 A. Particularly in the movie section,
- 14 there are no -- no product offered.
- 15 JUDGE STRICKLER: How should it have
- 16 been written in terms of describing product
- 17 offered for the Program Suppliers, movie
- 18 subsection?
- 19 THE WITNESS: What movies is it that
- 20 were shown?
- 21 JUDGE STRICKLER: They presumably have
- 22 a lot of movies.
- 23 THE WITNESS: Sure.
- JUDGE STRICKLER: Would you suggest
- 25 they list all the movies?

- 1 THE WITNESS: No, I wouldn't suggest
- that, but a representative sample of the
- 3 movies.
- JUDGE STRICKLER: Which you, in fact,
- 5 did. In your survey, you did a -- you provided
- 6 examples of movies, right?
- 7 THE WITNESS: Yes, we did, in all
- 8 category cases.
- 9 JUDGE STRICKLER: How did you decide
- which movies to provide by way of example?
- 11 THE WITNESS: All of the content that
- 12 fit in the categories was provided to us by the
- 13 MPAA.
- 14 JUDGE STRICKLER: But you only used
- 15 certain examples. Did the MPAA provide you
- 16 with the examples or did they provide you with
- 17 a list of all the movies and then you -- from
- 18 that list, you culled the ones that you used as
- 19 examples?
- 20 THE WITNESS: They provided us the
- 21 examples.
- JUDGE STRICKLER: Okay. So you don't
- 23 know whether the examples they gave were movies
- that were highly rated in the theaters, highly
- 25 rated in television compared to other, you

- 1 know, box office busts or less -- less watched
- 2 programs when they were transmitted on local
- 3 stations?
- 4 THE WITNESS: We had asked them to
- 5 submit movies that were representative of what
- 6 was carried on distant signals.
- 7 JUDGE STRICKLER: So you didn't know
- 8 independently whether those movies were highly
- 9 rated on television, highly rated in the
- 10 theaters, before they were rebroadcast on
- 11 distantly retransmitted stations; you just took
- 12 the ones they gave you?
- 13 THE WITNESS: That is correct. We did
- 14 not know.
- JUDGE STRICKLER: Thank you.
- 16 BY MR. OLANIRAN:
- 17 Q. And let's go back to the slide which
- 18 had the list of changes. Okay. The next
- 19 bullet on that slide is the limited number of
- 20 distant signals to a maximum of eight.
- 21 Could you please explain what that
- 22 change was in the Bortz survey?
- 23 A. For 2010 to 2013, Bortz decided to not
- 24 ask their respondents for -- to evaluate, to
- 25 include in the allocation of value, more than

- 1 eight distant signals, even if the system
- 2 carried more than eight.
- 3 O. And what is your understanding of the
- 4 reason why Bortz did that?
- 5 A. Because of consolidation in the
- 6 industry and systems combining, now the
- 7 exercise was more extensive, and there were
- 8 more channels to cover and seemed to decide
- 9 that the respondents couldn't do that.
- 10 Q. And do you agree that limiting the
- 11 number of distant signals for cable subscribers
- 12 to be interviewed was an improvement to the
- 13 2010-2013 Bortz survey?
- 14 A. It was not an improvement.
- 15 O. And why do you say that?
- 16 A. It simply left out relevant content on
- 17 the system. I don't see how they could not be
- 18 included in the allocation of value, unless
- 19 we're questioning whether these respondents can
- 20 actually do the exercise that they were asked
- 21 to perform.
- Q. What do you mean by unless we conclude
- that the respondents were not capable of
- 24 performing the exercises they're being asked to
- 25 perform?

- 1 A. Meaning that they need to -- our
- 2 respondents, qualified as being a
- 3 decisionmaker, needed to allocate value across
- 4 relevant content. So there's no -- other than
- 5 the excuse that they can't do it, there's no --
- 6 there's no justification for cutting off that
- 7 content.
- 8 O. Thank you. And the next bullet is the
- 9 revised introductory questions. And could you
- 10 please explain what you mean by that?
- 11 A. Bortz 2004-2005 had, I believe, two
- 12 introductory questions; one focused on
- 13 subscriber value and one about advertising.
- And they changed those in the 2010-2013 survey.
- 15 Q. Ms. Budron, could we go to
- 16 Exhibit 1001 again, page B-2. And let's scroll
- 17 down, I guess, to page B-3. Okay.
- 18 And, Mr. Horowitz, let me direct your
- 19 attention to page -- are you at page B-3?
- 20 A. Yes, I am.
- 21 Q. And are you at Question 2?
- 22 A. Yes, I am.
- Q. The Question 2b, is that one of the
- introductory questions you were referring to?
- 25 A. Yes.

- 1 Q. Okay. And let's scroll down to page
- 2 B-4.
- 3 A. Um-hum.
- 4 Q. Have you had a chance to review that?
- 5 A. Yes.
- 6 Q. And is that the other introductory
- 7 questions you were referring to?
- 8 A. Yes.
- 9 Q. These are the Bortz '10 through '13
- 10 warm-up questions --
- 11 A. Yes.
- 12 Q. -- that you --
- 13 A. Um-hum.
- 14 Q. Okay. And do you agree that the
- 15 revisions to the warm-up questions for the '10
- 16 through '13 Bortz survey were an improvement to
- 17 that survey?
- 18 A. No, I do not think that they were
- 19 improvements.
- Q. Why do you say that?
- 21 A. They completely left the notion of
- 22 subscriber value.
- Q. What do you mean by that?
- A. There's no questions about how -- this
- is about expense, Question Number 3. And no

- 1 question about value to subscriber acquisition
- 2 and retention. And it's actually -- these
- 3 introductory questions are actually leading the
- 4 respondent down the wrong path to evaluate
- 5 expense as opposed to value.
- 6 Q. And what do you mean by the wrong
- 7 path?
- 8 A. Well, if we're evaluating -- we're
- 9 allocating value, these questions are about
- 10 expense, how much it would have cost them to
- 11 buy this product.
- 12 Q. And you don't believe expense and
- 13 value are the same concepts?
- 14 A. Expense and value are not the same
- 15 concept.
- 16 JUDGE STRICKLER: Do you believe
- 17 they're related in any way?
- 18 THE WITNESS: They're related
- 19 sometimes as opposites, but they're related.
- 20 The more expensive it is, the less value it
- 21 could have. But they're related in that sense
- 22 based on a particular product that we're
- 23 talking about.
- JUDGE STRICKLER: Thank you.
- 25 BY MR. OLANIRAN:

- 1 Q. And what about -- scroll back to
- 2 Question 2b. I'll leave the joke, this time.
- A. Yes, so this is -- again, this does
- 4 relate to value. And we didn't use ranking.
- 5 We used scales. They used ranking, legitimate
- option, and once again, though, here too,
- 7 there's no reference to subscribers anymore.
- 8 They dropped subscribers from this changed
- 9 importance question and now relate to some
- 10 undefined cable system.
- 11 Q. And why was it a concern for you that
- they dropped the reference to subscribers?
- 13 A. This -- one of the main valuation
- 14 factors has been subscriber acquisition and
- 15 retention, throughout our experience in this
- 16 proceeding, going back to even Bortz in
- 17 2004-2005, and it seems to have dropped
- 18 completely out of this questionnaire or this
- 19 series of series from 2010-2013.
- 20 O. Let's go back to -- I think the last
- 21 bullet on this slide was the revised constant
- 22 sum question.
- 23 A. Yes.
- Q. And what was your concern with regard
- 25 to the constant sum question?

- 1 A. A couple of concerns.
- Q. Actually, can we go back to that
- 3 slide? Sorry. And go to the constant sum
- 4 question. I meant the Exhibit 1001, Appendix
- 5 B. Let's go to B-5. Thank you.
- And this is the -- this is Question 4a
- 7 in the Bortz '10 through '13 survey. Is this
- 8 the constant sum question you were referring
- 9 to?
- 10 A. Yes.
- 11 Q. And what is your concern with regard
- 12 to the constant sum question, the -- the new
- 13 constant sum question?
- 14 A. Yeah, the new constant sum question.
- 15 A couple of things, maybe even three things,
- 16 about this question that were particularly
- 17 troubling. One, again, is it asks about
- 18 relative value to your cable system. And
- 19 never, not in the introductory questions or any
- 20 place else, has value to cable systems been
- 21 defined and what those factors are.
- More importantly, the introduction to
- the question to estimate relative value is not
- 24 consistent with the actual question that was
- 25 asked. Once again, in the same question, they

- 1 first asked about relative value. And now they
- 2 ask about spending, assuming your system spent
- a fixed dollar amount. So it's inconsistent.
- 4 Secondly, we're talking about channels
- 5 packaged and content packaged and carried on
- these distant signals, and we have never been
- 7 talking about disaggregated content that these
- 8 particular executives don't -- aren't involved
- 9 in. So, again, the inconsistency is particular
- 10 outstanding between value and expense, same
- 11 question.
- 12 Q. And -- and do you understand why Bortz
- 13 says that the change was made to the constant
- 14 sum question?
- 15 A. Well, the -- I think the main reason
- 16 that I recall is that they wanted to broaden
- 17 the definition of value. I assume that
- 18 translated to them to call it cable system,
- 19 value to the cable system.
- 20 But it's not a meaningful broadening
- 21 of value. It's anything fits in that. So
- there's no definition of what the frame of
- 23 reference is for the respondent.
- Q. Okay. Does this constant sum question
- 25 make any reference to subscribers, attraction

- 1 and retention of subscribers?
- 2 A. It makes no reference to -- yes, it
- 3 does not make any reference to subscriber
- 4 retention and acquisition.
- 5 Q. Is that also problematic in your view?
- 6 A. It's problematic in my view. It's
- 7 what we've been doing and Bortz did originally.
- 8 And now it has been dropped completely. And as
- 9 opposed to expanding upon subscriber
- 10 acquisition and retention, it simply dropped
- 11 subscriber acquisition and retention.
- 12 Q. And my last question on this, in the
- last paragraph of the constant sum question, it
- 14 reads, "What percentage, if any, of the fixed
- dollar amount would your system have spent."
- 16 As compared to your constant sum
- 17 question, what is your opinion in the
- 18 difference -- in the difference between yours
- 19 and this question?
- 20 A. Our question consistently and actually
- 21 comprehensively, since we've filled in the
- definition of what value means, and asked them
- 23 to allocate value across the different
- 24 programming categories.
- This is even inconsistent with the

- 1 introductory expense question, asking them to
- 2 say how much they would have spent. I don't
- 3 get the connection in terms of value, what they
- 4 know about what they would have spent to get
- 5 these -- to get these channels.
- Q. I mean, inconsistency between this
- 7 question and what other question?
- 8 A. In their -- even their introductory
- 9 question about expense.
- 10 Q. I see. Now let's now turn to a
- 11 discussion -- the comparison between the 2010
- 12 Bortz and the 2010 Horowitz. I think you
- 13 already identified the changes to -- the
- 14 Horowitz survey made to the '04-'05 and then
- the changes that Bortz made to '04-'05 to
- 16 create the 2010 through '13, right?
- 17 And I think you -- did you have a
- 18 summary of the key differences between the
- 19 '10-'13 Bortz and the '10-'13 Horowitz?
- 20 A. Yes.
- Q. Could you please put that up, please.
- 22 Thank you.
- 23 Would you please identify all the
- 24 differences between the two surveys and then we
- 25 can talk about them in detail.

1	A. Yes, just reading down the list, once
2	again, the "other sports" programming category,
3	different warm-up questions focused on value,
4	representative program examples to define the
5	categories. We included and covered systems
6	that carry PTV-only or Canadian-only distant
7	signals. We have a better constant sum
8	question focused on allocating value and with
9	defined value.
10	We, once again, focus the respondents
11	on the actual distant signal carriage on many
12	points in the questionnaire in doing our
13	exercise. We reminded respondents about WGN's
14	blacked-out programming that is
15	non-compensable. And consistently throughout
16	the questionnaire from the introductory
17	paragraphs right through the introductory
18	questions and the constant sum question, what
19	they were doing in a consistent way, subscriber
20	value and consistent instructions.
21	JUDGE STRICKLER: I have a question
22	for you on the first bullet point, the "other
23	sports" program category. You listed examples.
24	Did you did you and your
25	organization identify those other sports or

- were those given to you by Program Suppliers?
- THE WITNESS: They were given to us by
- 3 Program Suppliers.
- JUDGE STRICKLER: Thank you.
- 5 BY MR. OLANIRAN:
- 6 Q. Now, you've talked about most of this,
- 7 so I don't want you to repeat your testimony,
- 8 but I want to focus on, I think, a couple of
- 9 those.
- 10 The fourth bullet, which includes
- 11 cable systems carrying PTV-only and/or
- 12 Canadian-only distant signals, why did you
- 13 survey those systems?
- 14 A. We surveyed those systems as a
- 15 legitimate part of the sample universe of Form
- 16 3 cable systems.
- 17 O. Did you believe that they should have
- 18 been sampled -- they should have been
- interviewed because they were in the sample?
- 20 A. I believed they should have been in
- 21 the sample and counted as a -- as valued in
- 22 that -- in those systems.
- Q. And with regard to the last bullet
- 24 point, which is -- consistently references
- 25 subscriber value and instructs response

- 1 accordingly, could you please elaborate on
- 2 that.
- 3 A. Yes. In pointing out the
- 4 inconsistencies in the Bortz 2010-2013, we are
- 5 an example of the opposite of that,
- 6 consistently reference value, provide a
- 7 consistent definition, provided consistent
- 8 instructions to the respondents, and maintained
- 9 that consistent task throughout the survey from
- 10 beginning to end.
- 11 Q. Okay. And what impact do you -- do
- 12 these differences, in your opinion, have on the
- 13 two surveys?
- A. Well, we think if a survey is going to
- 15 be used in these proceedings to allocate
- 16 copyright royalties, that the Horowitz survey
- is a better survey to use.
- 18 Q. And why do you say that?
- 19 A. Because of all these differences that
- we think focused respondents on the task, focus
- on clearly defined categories that they
- 22 understood, and asked them about their
- 23 subjective assessment of relative value as
- 24 opposed to speculating about expense that they
- 25 may never have been involved in.

- 1 Q. Now I also want to ask you some of the
- 2 questions about some of the criticisms of your
- 3 survey.
- JUDGE FEDER: Before we move off that
- 5 slide, I have a question about the second to
- 6 last bullet.
- 7 THE WITNESS: Going back.
- JUDGE FEDER: Now, by WGN's
- 9 blacked-out programming, I assume you're
- 10 referring to programs that appear in the WGNA
- 11 national feed that is not identical to
- 12 programming that appears on WGN-DT in Chicago,
- 13 right?
- 14 THE WITNESS: Yes.
- JUDGE FEDER: The first question is
- 16 did you have or did your interviewers have any
- indication that the respondents understood that
- 18 that's what is meant by WGN's blacked-out
- 19 programming?
- THE WITNESS: The interviewers only
- 21 conveyed what's in the text of the
- 22 questionnaire with approximately these words.
- We can go to the questionnaire.
- 24 That to -- as a cautionary note, to
- 25 not give any value to WGN's blacked-out

1	programming.
2	JUDGE FEDER: And did you have any or
3	did the interviewers have any indication that
4	there was any confusion or lack of
5	understanding on the part of the respondents of
6	what that blacked-out programming was?
7	THE WITNESS: There was no indication
8	of confusion.
9	JUDGE FEDER: Okay, thank you.
10	JUDGE STRICKLER: Was any pretesting
11	done on your survey?
12	THE WITNESS: No, the surveys were not
13	pretested.
14	JUDGE STRICKLER: Do you have a
15	standard policy or a standard checklist as to
16	whether or not a survey should be pretested?
17	THE WITNESS: We often pretest surveys
18	for
19	JUDGE STRICKLER: What are the reasons
20	why you would decide to pretest a survey?
21	THE WITNESS: We often pretest for
22	consumers as far as understanding the questions
23	that we're that are being asked.
24	JUDGE STRICKLER: And what was your
25	reason for not pretesting this survey?

1	THE WITNESS: Because we were
2	interviewing a sample of experts in the field
3	and what were what we were being asked were
4	concepts that these executives should know.
5	JUDGE STRICKLER: You've done surveys
6	of experts in fields previously?
7	THE WITNESS: Yes.
8	JUDGE STRICKLER: Have you ever
9	pretested those surveys?
10	THE WITNESS: Ever? I don't know, but
11	it could very well be that we did not. And we
12	might have there might have been an instance
13	where we did. I would venture not in
14	particular, if they are experts in the field
15	that we were interviewing, that we would
16	probably not pretest the survey.
17	JUDGE STRICKLER: But, sitting here,
18	you can't recall for certain one way or the
19	other whether, in fact, you've pretested
20	surveys of experts in their field, where the
21	survey was involving their field?
22	THE WITNESS: Across 30 years I
23	don't in the sense of ever, I don't recall
24	if ever we did pretest and what the reason was.
25	JUDGE STRICKLER: So

1	THE WITNESS: Can't recall that.
2	JUDGE STRICKLER: So you can't recall
3	a single instance when you did pretest in that
4	context?
5	THE WITNESS: Correct. I don't on
6	the positive side, I don't recall an instance
7	that we did.
8	JUDGE STRICKLER: Thank you.
9	JUDGE BARNETT: To be sure I
10	understand, there's pretesting by an external
11	independent agency and then there's running a
12	pilot in-house to see if you run across any
13	stumbling blocks.
14	Did you do either of those?
15	THE WITNESS: This in this survey
16	and many others that we do, that is not a
17	pretest; it's an ongoing evaluation from the
18	interviewers, are there problems. And there
19	were no problems reported with this survey.
20	If there were problems, we would stop
21	the process and which is another reason for
22	not doing pretesting, because in this
23	particular kind of circumstance, we have that
24	opportunity. In a fast-going 2,000-interview
25	survey with 100 interviewers doing surveys of

- 1 consumers, we need to make sure it's right
- 2 before we start. Otherwise, the cat is out of
- 3 the bag with much expense and much trouble done
- 4 before we'd find a problem.
- 5 So that's another reason that, with
- 6 these kinds of circumstances, we would not do a
- 7 pretest.
- 8 JUDGE BARNETT: Thank you. Is this a
- 9 good chance for us to take a morning break?
- 10 MR. OLANIRAN: Yes, actually.
- JUDGE BARNETT: All right. We'll be
- 12 at recess for 15 minutes.
- 13 (A recess was taken at 10:35 a.m.,
- after which the trial resumed at 10:55 a.m.)
- JUDGE BARNETT: Please be seated. Mr.
- 16 Olaniran?
- 17 MR. OLANIRAN: Thank you, Your Honor.
- 18 BY MR. OLANIRAN:
- 19 Q. Mr. Horowitz, I was about to ask you
- 20 about some of the criticisms of the Horowitz
- 21 survey.
- 22 Are you aware that you were criticized
- by Mr. Trautman and Dr. Mathiowetz regarding
- 24 examples of programs that were not carried that
- you used, even though you used those programs

- 1 as examples in your survey?
- 2 A. Yes, I'm aware of the criticisms.
- 3 Q. And what is your response to that
- 4 criticism?
- A. Across the surveys, there were issues
- 6 brought up about the amount of compensable and
- 7 non-compensable programming that we were
- 8 referring to.
- Those all stand up as legitimate
- 10 programming -- category representations up to
- our respondents to evaluate how much and how
- 12 little of that they should be valuing.
- There were some examples of incorrect
- 14 content not -- not carried.
- 15 O. And going back to my question, you had
- 16 examples of programs, even though those
- 17 programs are not necessarily carried -- carried
- 18 by -- carried on any of the signals that the
- 19 CSO was responding to.
- Is that inappropriate survey practice
- 21 to use programming examples, even when those
- 22 programs are not being carried?
- 23 A. Programs should be carried. And in
- 24 most cases, these were representative of the
- 25 content category that we were trying to

- 1 illustrate.
- JUDGE STRICKLER: Again, just so I am
- 3 clear, I think in answer to one of my questions
- 4 you said that you didn't pick out any of these
- 5 examples?
- 6 THE WITNESS: Correct.
- 7 JUDGE STRICKLER: They were given to
- 8 you?
- 9 THE WITNESS: That is correct.
- 10 JUDGE STRICKLER: Thank you.
- 11 BY MR. OLANIRAN:
- 12 Q. And you were also criticized for
- improperly including some programs in -- in the
- 14 wrong categories. Do you recall that?
- 15 A. I do recall that.
- 16 Q. And what was your response to that?
- 17 A. My response to that is that that
- 18 should have been correct, that those were not
- 19 examples. We should have given them examples
- of the other category that they belonged in.
- 21 So to the extent I don't think those
- 22 mistakes were far-reaching and that there
- 23 seemed to be little impact from those, little
- 24 material impact from those mistakes.
- But I don't want to go too far down

- 1 that road because those mistakes should not
- 2 have happened.
- JUDGE STRICKLER: How can you come to
- 4 the conclusion that there was little material
- 5 impact? What measurement did you do or
- analysis did you do to come to that conclusion?
- 7 THE WITNESS: Just by looking at the
- 8 consistency of the responses we got
- 9 year-to-year, even in those years that were --
- 10 where those mistakes were presented to.
- JUDGE STRICKLER: So there were years
- 12 where those examples were not included and
- other years where the examples were included?
- 14 THE WITNESS: Yes.
- 15 JUDGE STRICKLER: And comparing those
- 16 years is how you came to the conclusion that it
- 17 didn't have anything more than a minimal
- 18 impact?
- 19 THE WITNESS: Yes.
- JUDGE STRICKLER: Thank you.
- 21 BY MR. OLANIRAN:
- Q. Did you also make any comparisons to
- your results for those years in which this
- 24 happened and the Bortz result?
- 25 A. I'm sorry?

- 1 Q. Did you also make comparisons between
- 2 your results and the Bortz results for the
- 3 years in which these -- these programs were
- 4 mis-categorized?
- 5 A. Yes.
- 6 O. Okay. And did you -- I'm sorry. Go
- 7 ahead.
- 8 A. The differences stayed consistent in
- 9 terms of what -- where -- where content was
- 10 valued and where value was allocated.
- 11 Q. Okay. Are you also aware that Mr.
- 12 Singer, who is one of the witnesses, in his
- 13 testimony, believes that your survey list of
- 14 WWE Superstars as an example of "other sports"
- is an improper example because it doesn't
- 16 consider the show to be a sports program? Are
- 17 you aware of that?
- 18 A. Yes, I am aware that he said that.
- 19 Q. Yes. And what is your response to
- 20 that?
- 21 A. That's -- he is entitled to his
- opinion about any content, whether it is sports
- or not. It doesn't change the fact that this
- is in our "other sports" category and content
- 25 that needed to be allocated some value,

- 1 assuming it had some.
- Q. Are you aware of Dr. Mathiowetz's
- 3 criticism that the Horowitz survey excessively
- 4 burdened the respondents by requiring
- 5 respondents to report for multiple CSOs in a
- 6 single interview and asking respondents about
- 7 all distant -- distant -- distant
- 8 signals transmitted by the respective cable
- 9 systems? Are you aware of that criticism?
- 10 A. I'm aware of that criticism.
- 11 Q. Now, I think you already addressed the
- 12 question about limiting, capping the respondent
- 13 -- capping the number of signals to be
- 14 responded to at eight, as opposed to all of
- 15 them.
- I want to ask you about requiring
- 17 respondents to report for multiple systems.
- 18 Let me start by how did you handle multiple
- 19 system -- multi-system respondents? In other
- 20 words, when you have a CSO that is responding
- 21 for two or more systems, how did you handle or
- 22 what is your process for a CSO's response to
- 23 that --
- A. I'm sorry, the end of your sentence?
- 25 O. The CSOs, how did you handle the

- 1 situation where a CSO is responsible for
- 2 multiple systems? What was that process?
- 3 A. That process was a straightforward
- one. If, in fact, there were any differences
- 5 in the channels under consideration, the
- 6 distant signals that were carried, that
- 7 respondent was asked about each and every
- 8 system separately.
- To the extent that all channels were
- 10 the same, the respondent was only asked to
- 11 allocate value once.
- 12 Q. And why did you handle that that way?
- 13 A. That would be burdensome and yield to
- 14 poor quality data to put a respondent through
- the tedium of answering the same exact question
- 16 with the same exact channels with another
- 17 exercise of allocation, just bad survey
- 18 practice overall.
- 19 We had no choice on the others. If it
- 20 was different, they needed to answer the
- 21 questionnaire separately.
- Q. In other words, where the complement
- 23 of signals --
- 24 A. Yes.
- Q. -- on -- on each questionnaire

- was different, you went through that process;
- 2 is that correct?
- 3 A. Yes, we did.
- 4 JUDGE STRICKLER: Is that depicted or
- 5 explained anywhere in the survey form, or that,
- I take it, there were just multiple surveys to
- 7 people, to executives, who had different
- 8 systems they were responsible for?
- 9 THE WITNESS: Yes, the interviewer
- 10 brought up the separate system. It was not
- 11 explained in the survey form. At some point it
- 12 was -- it was clarified that they are
- 13 responsible for multiple systems and they knew
- 14 they would be interviewed sequentially about
- 15 each.
- 16 JUDGE STRICKLER: How did the
- interviewer know to ask that if it wasn't on
- 18 the survey form?
- 19 THE WITNESS: You know, I don't know
- 20 the answer to your question precisely. I'm
- 21 certain it was part of the computer program
- that would indicate that here is a set of
- 23 systems with this particular respondent with
- 24 all the same line-ups.
- JUDGE STRICKLER: Was that part of the

- 1 computer program included within your -- either
- 2 of your written testimonies?
- 3 THE WITNESS: I do not recall it being
- 4 written in my testimony about this process of
- 5 how the interviewer knew whether -- whether
- 6 they were doing multiple interviews or only one
- 7 interview. That is an excellent question.
- g JUDGE STRICKLER: Do you know whether
- 9 your -- you have turned over to your counsel
- 10 for discovery in this proceeding the part of
- 11 the computer program that distinguished among
- 12 -- that provided instructions to the
- interviewer with regard to multiple systems?
- 14 THE WITNESS: If it was in the
- 15 computer program, we would have turned that
- 16 over.
- 17 JUDGE STRICKLER: Thank you.
- 18 BY MR. OLANIRAN:
- 19 Q. Now, where would, the program the
- Judge is referring to, would that have been a
- 21 program that you had or would it have been a
- 22 program that the field house used?
- 23 A. That the field house used in
- 24 programming the survey.
- Q. And who did the actual programming?

- 1 A. The field house experts on programming
- 2 surveys.
- 3 Q. And what did you do to maintain
- 4 quality assurance with regard to what the field
- 5 house did?
- 6 A. The program was tested that it was
- 7 accurate.
- 8 Q. Who tested the program?
- 9 A. The field house tested the program.
- 10 Q. Okay. Did you have any oversight from
- 11 your side as to what the field house was doing?
- 12 A. Yes, one of our staff would have also
- 13 looked at the program. The program called up
- 14 the correct questionnaires.
- 15 Q. And who at your -- which of your staff
- 16 would have been responsible for that?
- 17 A. Nuria Riera, our SVP of operations
- 18 would be responsible.
- 19 Q. And what is her position?
- 20 A. She is the SVP of research operations,
- 21 oversees all the research and staff.
- 22 Q. And does she have any involvement in
- any of the surveys from 2010 through 2013?
- 24 A. Yes, she did.
- Q. And could you please explain what her

- 1 role was in the survey?
- 2 A. Her role was key in all aspects of the
- 3 survey, working with me on developing the
- 4 questionnaire and overseeing project management
- on getting the survey implemented properly
- 6 through the field and through programming.
- 7 Q. And she would have been looking over
- 8 the shoulders of the field house also?
- 9 A. She would have been, yes.
- 10 Q. Thank you, Mr. Horowitz.
- 11 MR. OLANIRAN: I have no further
- 12 questions.
- 13 THE WITNESS: Thank you.
- 14 JUDGE BARNETT: Mr. Lutzker?
- 15 CROSS-EXAMINATION
- 16 BY MR. LUTZKER:
- 17 Q. Good morning, Mr. Horowitz. My name
- is Arnold Lutzker and I represent the Settling
- 19 Devotional Claimants. And what I would like to
- 20 do is just clarify a couple of points in your
- 21 testimony, in your written testimony and oral
- 22 testimony today.
- 23 As I understand it, unlike the Bortz
- 24 survey, your survey results did include cable
- 25 systems that carried Public Television distant

- 1 signals only or Canadian signals only or a
- 2 combination of those signals; is that correct?
- 3 A. Yes.
- 4 Q. And in that regard, the Horowitz
- 5 survey results do not require any adjustment to
- 6 systems that were carrying the Public
- 7 Television signals only or the Canadian signals
- 8 only or the combination of those signals; would
- 9 that be correct as well?
- 10 A. No -- no adjustment other than the
- 11 weighting adjustment that Professor Frankel did
- 12 across all the survey results.
- 13 Q. Okay. And were you aware that in
- 14 connection with the Canadian programming, there
- 15 are certain Devotional programs that are
- 16 allocable to the Devotional Claimant category
- 17 that are carried on Canadian signals? Were you
- 18 aware of that?
- 19 A. I was not aware of that.
- 20 Q. And to the extent that that is
- 21 established in the record, would there be some
- 22 adjustment of the Canadian share for the
- 23 Devotional category as a result of that?
- MR. OLANIRAN: Objection, Your Honor.
- 25 It is outside the scope of his testimony.

- 1 MR. LUTZKER: The questionnaire
- 2 provides for Canadian programming and it
- 3 identifies Canadian programming without
- 4 breaking it into any other categories. And, as
- 5 I understand the results, they are allocable
- 6 entirely to the Canadian category.
- 7 And, as a result, I would like him to
- 8 clarify whether, in light of the fact that
- 9 there are other category programming on
- 10 Canadian stations, that some portion of that
- 11 may need to be adjusted.
- MR. OLANIRAN: Your Honor, it is -- he
- 13 never addressed this anywhere in his testimony.
- 14 To the extent Mr. Lutzker wishes to analyze the
- 15 content of the programming, I think this is the
- 16 wrong witness.
- 17 JUDGE BARNETT: I am going to allow
- 18 it. He surveyed specifically for Canadian
- 19 programming so I think he can answer that
- 20 question. Overruled.
- 21 THE WITNESS: Can I ask you to repeat
- 22 the question, please?
- 23 BY MR. LUTZKER:
- Q. Well, sure. Just to the extent that
- 25 there are other category programings, like

- 1 Devotional programming, within the Canadian
- 2 programming content that you surveyed, would it
- 3 be appropriate to make some adjustment in favor
- 4 of the other categories that appear on Canadian
- 5 stations?
- 6 A. The only thing I know in answer to
- 7 your -- partial answer to your question is that
- 8 all of that would have been included in the
- 9 allocation to the Canada -- the Canadian
- 10 programming.
- 11 Whether there should be an adjustment
- or not, I don't know in terms of the whole
- 13 process of this proceeding or not.
- 14 Q. And you don't -- you don't try to
- 15 break out whether there should be an adjustment
- in the Canadian category?
- 17 A. No.
- 18 Q. Okay. Thank you.
- 19 Now, the Horowitz survey does
- 20 specifically address the issue of
- 21 non-compensable programming on WGNA; is that
- 22 correct?
- 23 A. Yes.
- Q. And the design is to particularly
- 25 address the portion of non-compensable content

- that's allocable both to Devotional category
- 2 and the Program Supplier category in
- 3 particular; is that correct?
- A. Could you repeat that, please? Since
- 5 you brought in the others, I got a little
- 6 confused.
- 7 Q. Yeah. Well, did you understand that
- 8 the purpose of addressing non-compensable
- 9 content on WGNA was designed primarily to
- 10 isolate and identify non-compensable content
- 11 particularly addressing the Devotional category
- 12 and the Program Supplier category?
- 13 A. Yes.
- 14 Q. And would it be true that your survey
- 15 did, in fact, address not only the
- 16 non-compensable issue on WGN-only systems,
- 17 systems that only carried WGNA, but also
- 18 carried WGNA and other signals?
- 19 A. Yes.
- 20 Q. And those results are fully
- incorporated into your final weighted survey
- 22 results; is that correct?
- 23 A. Yes, they are.
- 24 Q. And in that regard, your survey would
- 25 not require any further adjustment of the

- 1 Devotional category or Program Supplier
- 2 category to accommodate systems that carry WGNA
- 3 along with other signals?
- 4 A. Would not be required.
- 5 Q. Would not require any -- you would not
- 6 require any further adjustment of the
- 7 Devotional category and the Program Supplier
- 8 category for systems that carried WGNA and
- 9 other signals?
- 10 A. Correct.
- 11. Q. Okay. Now, assuming that the Judges
- do view the survey, your survey and any other
- 13 surveys in this proceeding of cable operators
- 14 as providing very useful, perhaps the most
- 15 useful evidence relating to the relative
- 16 marketplace value of shares among the parties
- 17 in this proceeding, do I understand you to say
- 18 that the Horowitz survey is both valid and the
- 19 most reliable survey offered into evidence in
- 20 this proceeding?
- 21 A. Yes.
- Q. Now I would like to just turn to page
- 23 16 of your testimony.
- JUDGE STRICKLER: Direct?
- 25 MR. LUTZKER: Of the direct testimony,

- 1 yes. And this is in Exhibit 6012 on page 16.
- 2 BY MR. LUTZKER:
- 3 Q. Now, do I understand -- do you have
- 4 that?
- 5 A. Yes, I do.
- 6 Q. Okay. Do I understand that the
- 7 weighted percentages set forth in Table 3.2
- 8 constitute the proper allocation of shares in
- 9 this proceeding based on your Horowitz survey?
- 10 A. Yes.
- 11 Q. And in that table you indicate the
- 12 shares for the Devotional category range from
- 3.8 to 5.92 percent during the four-year
- 14 period; is that correct?
- 15 A. Yes.
- 16 Q. Okay. Thank you.
- 17 MR. LUTZKER: I have no further
- 18 questions.
- 19 JUDGE BARNETT: Mr. Garrett?
- 20 CROSS-EXAMINATION
- 21 BY MR. GARRETT:
- Q. Good morning, Mr. Horowitz. My name
- is Bob Garrett and I represent the Joint Sports
- 24 Claimants.
- 25 A. Good morning.

- 1 Q. A couple of housekeeping matters.
- 2 First, I would like to give you some
- 3 cross-examination binders that have various
- 4 materials that we may be referring to.
- 5 MR. GARRETT: May I approach the
- 6 witness, Your Honor?
- 7 JUDGE BARNETT: You may.
- 8 BY MR. GARRETT:
- 9 Q. I believe we have two binders for you.
- 10 A. Okay.
- 11 MR. GARRETT: Your Honor, as another
- 12 housekeeping matter, we have several exhibits
- 13 that I would like to move into admission. I
- 14 have conferred with our colleagues here and I
- don't believe anyone has any objections.
- 16 They are Exhibit Numbers 1133 through
- 17 1145 inclusive.
- JUDGE BARNETT: 1133 through 1145
- 19 inclusive are admitted.
- 20 (Exhibit Numbers 1133, 1134, 1135,
- 21 1136, 1137, 1138, 1139, 1140, 1141, 1142, 1143,
- 22 1144, 1145 were marked and received into
- 23 evidence.)
- MR. GARRETT: Thank you, ma'am.
- 25 BY MR. GARRETT:

- 1 O. Mr. Horowitz, let's begin by turning
- 2 to page 22 of your written direct testimony.
- 3 A. Do I find that in the binder or --
- 4 Q. Yes.
- 5 A. -- I should bring up my own?
- 6 Q. It should be the first tab.
- 7 A. Number 1?
- 8 Q. Number 1.
- 9 A. 6012, direct testimony?
- 10 Q. Yes, sir.
- 11 A. And page? I'm sorry.
- 12 Q. 22.
- 13 A. Okay.
- 14 Q. Now, I want to just focus on that
- 15 carryover paragraph up at the top beginning
- 16 "the buyer." Do you see that?
- 17 A. Carryover paragraph that starts with
- "i.e., the cable system"?
- 19 Q. Right. And the next sentence there
- 20 actually.
- 21 A. Oh, I see, yes.
- Q. It says, "the buyer or decision-maker
- in these operator surveys is an intermediary
- 24 whose purpose is to bring subscribers the value
- 25 that they actually pay for when subscribing to

- 1 cable service. Done well, such a survey may
- 2 illuminate the criterion by which to allocate
- 3 royalties."
- 4 Do you see that?
- 5 A. Yes.
- 6 Q. Can you tell me what you meant by
- 7 that?
- 8 A. I meant that if we're going to use a
- 9 survey, we should think about what it is that
- 10 we're doing, and that -- in this case the
- 11 operator was an intermediary for the
- 12 subscriber. We're asking him to stand in and
- 13 tell us about value to their subscribers. In
- 14 his case value for subscriber acquisition and
- 15 retention.
- 16 O. Okay. I know you in your written
- 17 testimony express a preference for viewing
- 18 data, correct?
- 19 A. Yes, I have said that viewing data
- 20 ought to be a part of this proceeding in
- 21 several places, I think.
- O. Assume for the moment that there is no
- 23 viewing study. Assume we have a hypothetical
- 24 hearing where no such study has been admitted.
- 25 Okay?

- 1 A. Okay, no viewing.
- 2 O. And all we have is your survey. Got
- 3 that?
- 4 A. Yes.
- 5 Q. Can the Judges rely upon your survey
- 6 in order to determine the relative market
- 7 values of each of the different agreed
- 8 categories of programming?
- 9 A. They can rely on it. I think it is a
- 10 compromise over having the behavioral data
- that's needed to back up those assessments by
- 12 the stand-in operator.
- 13 O. You believe your survey shows the
- 14 relative fair market value of the different
- 15 categories of programming in this proceeding?
- 16 A. The survey does the best job it can of
- 17 getting the valuation by these proxies.
- 18 Q. It says: "Done well, such a survey
- 19 may illuminate the criterion by which to
- 20 allocate royalties." Correct?
- 21 A. Yes.
- 22 Q. And you believe your survey was done
- 23 well, don't you?
- 24 A. Yes.
- Q. And so you believe that your survey

- 1 can allocate -- I'm sorry, illuminate the
- 2 criterion by which to allocate royalties,
- 3 correct?
- 4 A. Yes.
- 5 Q. And it can show relative fair market
- of the different program categories in
- 7 this proceeding?
- 8 A. It can show the criteria. It can be
- 9 used for that.
- 10 O. Thank you. And as I understand it,
- 11 you started with the 2004-'05 Bortz survey,
- 12 correct?
- 13 A. Yes.
- 14 Q. And you followed certain of the
- 15 methodology of that survey but made
- 16 improvements to it?
- 17 A. Yes.
- 18 Q. Okay. And you later recognized that
- 19 the Bortz had also changed portions of its
- 20 methodology between 2004-'05 and 2010-'13?
- 21 A. Changed its survey, yeah.
- 22 Q. Changed its methodology in part?
- 23 A. I don't know at this moment about
- 24 changes in methodology.
- 25 Q. You think it changed its

- 1 questionnaire?
- 2 A. Yeah.
- 3 Q. Okay. And you think those changes
- 4 actually made the survey worse, as I understand
- 5 it?
- 6 A. Yeah.
- 7 Q. Okay. So let me go to slide 1, Geoff,
- 8 which is taken from your written direct
- 9 testimony at 16. I think this was the same
- 10 chart that Mr. Lutzker was referring to.
- Do you have that before you?
- 12 A. Yes.
- 13 O. And so this shows the relative
- 14 allocations to each of the different program
- 15 categories identified on the left-hand side,
- 16 correct?
- 17 A. Yes.
- 18 Q. And I'm going to focus for a moment
- 19 here on the live coverage of professional and
- 20 college team sports. Do you see that?
- 21 A. Yes.
- Q. And just for simplicity purposes this
- 23 morning, let's refer to that as JSC.
- 24 A. JSC.
- 25 Q. So on average, across all four years,

- 1 respondent -- well, in each of the four years,
- it is correct, is it not, that the respondents
- 3 gave their highest allocation to the JSC
- 4 category?
- 5 A. Compared to any other individual row,
- 6 yes.
- 7 Q. And that -- we will go all the way
- 8 over to the right. 2010 it was 31.94 percent?
- 9 A. Yes.
- 10 O. And then in 2011, 27.13 percent,
- 11 correct?
- 12 A. Yes.
- 13 Q. And in 2012, 25.5 percent?
- 14 A. Yes.
- 15 Q. And in 2013, 35.28 percent?
- 16 A. Yes.
- 17 Q. And that averages to approximately
- 18 30 percent over all four years?
- 19 A. Okay.
- 20 Q. And it is the highest valuation in
- 21 each of these years by 9 to 10 percentage
- 22 points, correct?
- 23 A. Yes, compared to any other individual
- 24 row, yes.
- Q. Okay. Let me turn to slide 2. Do you

- 1 recognize this as the results of the Bortz
- 2 study for 2010 through '13?
- 3 A. I don't recognize it, but that's what
- 4 it is labeled as on this.
- 5 Q. Okay. Well, are you aware that the
- 6 Bortz study also found that the JSC category
- 7 was the most highly valued category in each of
- 8 the four years?
- 9 A. Yes.
- 10 Q. And it was generally the highest by a
- 11 considerable margin, correct?
- 12 A. Yes.
- 13 Q. Now, your survey also asked the
- 14 respondents about the importance of the
- 15 different categories of programming to
- 16 subscribers, correct?
- 17 A. Um-hum, yes.
- 18 Q. So we can just switch to slide 3. And
- 19 this is the question that you asked, taken from
- your written direct testimony at page 29.
- 21 A. Yes.
- Q. It says: "We have been discussing the
- 23 several types of programming on your distant
- television station, and using a 1 to 5 scale,
- where 1 means not important at all and 5 means

- very important, please tell me how important
- you think each of the following types of
- 3 programming on these distant television
- 4 stations is to your subscribers."
- 5 Did I read that right?
- 6 A. Yes.
- 7 Q. And if we go to the next slide, we see
- 8 that the JSC category received the highest
- 9 ratings; is that correct?
- 10 A. Yes.
- 11 Q. This is the slide here that just shows
- for 2010 but the results of all four years are
- 13 the same, correct? Well, they are not the
- 14 same. They are the same in the sense that JSC
- is rated as the most important category to the
- 16 respondent subscribers, correct?
- 17 A. Yes.
- 18 Q. So in 2010, it was 84 percent,
- 19 correct?
- 20 A. Yes.
- Q. And the next highest category would
- 22 have been syndicated series at 33 percent,
- 23 correct?
- 24 A. Yes.
- Q. And if we go to your -- and we don't

- 1 need to do that now, but we can go to your --
- well, actually, let's go to your testimony at
- 3 page 19 and 20. That's your written direct
- 4 testimony.
- 5 And these are the tables here for each
- of the other years, 2011, 2012, and 2013,
- 7 correct?
- 8 A. Why am I not seeing this? This is my
- 9 fault.
- 10 O. Let's go to page 19 up at the top. We
- 11 have Table 6.1.
- 12 A. Better.
- 13 Q. And we see respondents' perceived
- 14 importance to subscribers.
- 15 A. Yes. Now I see the year. I just
- 16 didn't pick it up on mine.
- 17 O. And so in 2013, again, sports is the
- 18 -- the JSC category is the highest at
- 19 64 percent, correct?
- 20 A. Yes.
- 21 O. And if we go to the next table, 6.2 --
- 22 A. Yes.
- Q. -- is that 73 percent, correct?
- 24 A. Yes.
- Q. And if we go to Table 6.3, it is

- 1 83 percent?
- 2 A. Yes.
- 3 Q. And Table 4, which was the slide we
- 4 had up a moment ago, it was 84 percent,
- 5 correct?
- 6 A. Yes.
- 7 Q. Now, the results that I just cited to
- 8 you are consistent with the results for a
- 9 similar question in the 2010-'13 Bortz surveys,
- 10 are they not?
- 11 A. You are saying the results are similar
- 12 to --
- 13 Q. The Bortz 2010 through '13 surveys
- 14 asked questions about the importance of
- 15 particular categories of programming. Do you
- 16 recall that?
- 17 A. Yes.
- 18 Q. And the results that they received
- 19 were consistent with the results that you
- 20 received, correct?
- 21 A. The results are different but
- 22 consistent. They asked a different question.
- 23 Q. They asked a rank order question,
- 24 correct?
- 25 A. Um-hum.

- 1 Q. And you said, I think earlier, that a
- 2 rank order question and your ratings question
- 3 are just two different ways --
- 4 A. Legitimate choices.
- 5 Q. But they are both well recognized in
- 6 the industry, correct?
- 7 A. Yeah.
- 8 O. So let's just go to slide 5. And so
- 9 here we see the results for all four years.
- 10 Slide 5, by the way, is Table 4-8 taken from
- 11 the Bortz report, Exhibit 1001, at page 51.
- 12 A. Um-hum.
- 13 Q. And in this particular question, lower
- is better, right, if 1 is most important?
- 15 A. By rank, yes.
- 16 O. Okay. So, again, live professional
- 17 and college team sports ranks the highest of
- 18 all the categories in each of the four years of
- 19 the Bortz survey, correct?
- 20 A. Yes.
- Q. And switch to slide 6. So we're clear
- on what that represents, it says now: I'd like
- 23 to ask you -- I'm sorry, this is the Bortz
- 24 survey Question 2b for which the previous slide
- 25 had presented the results.

- 1 And it says: "Now, I'd like to ask
- 2 you how important it was for your system to
- 3 offer certain categories of programming that
- 4 are carried by these stations." Right?
- 5 A. Um-hum.
- 6 Q. I'm sorry?
- 7 A. Yes, I follow.
- Q. And, as you said, the results, the
- 9 Bortz Question 2b are similar to your
- 10 importance form of question?
- 11 A. In a directional sense that you are
- 12 pointing them out, one is first rank and one
- was 60 or 70 or 80 percent importance. Other
- than that, the two numbers are not comparable.
- 15 Q. Okay. But regardless of which
- 16 particular methodological choice you make here,
- 17 whether it is a rating or whether it is a
- 18 ranking, sports or JSC is going to rank Number
- 19 1?
- 20 A. It had the highest importance rating
- in the Horowitz survey and it has the highest
- 22 rank in this survey.
- Q. Got it. Thanks.
- Incidentally, this is a question that
- 25 occurred to me. You have done just a ton of

- 1 surveys here in the media industry, including
- 2 cable television industry. Correct?
- 3 A. Yes.
- Q. Over 30 years, correct?
- 5 A. Yes.
- 6 Q. Have you done other surveys of cable
- 7 executives in the past?
- 8 A. Yes.
- 9 Q. Why do cable executives participate in
- 10 surveys like this?
- 11 A. They are cooperative. We tap into
- their expertise, and we pay them an honorarium.
- Q. Okay. And that's the only reason? Do
- 14 they have an interest in having good, solid
- 15 research done in the industry they can value
- 16 off of?
- 17 A. They would in this case. Nobody is
- 18 offering them the report or access to these --
- 19 Q. Okay.
- 20 A. -- data.
- Q. But in other cases that you have done
- 22 --
- 23 A. Sometimes there is -- it is used as an
- incentive that we will provide a summary of the
- data that you are participating in, and that's

- often a very effective incentive.
- 2 O. Okay. You responded to a number of
- 3 the criticisms that, I quess, the JSC experts
- 4 had. And I would like to just focus for a
- 5 moment here on some of the criticisms that the
- 6 Program Suppliers witnesses have of your
- 7 survey.
- 8 Did you review the testimony of Dr.
- 9 Steckel in this proceeding?
- 10 A. I reviewed Dr. Steckel's briefly.
- 11 Q. Briefly, okay. And his testimony is
- 12 Exhibit 6014, which should be tab -- it is in
- 13 the pocket of the first binder.
- 14 A. Oh, I see.
- 15 Q. So, Geoff, if we could just bring that
- 16 up for a second.
- 17 And I would ask you to turn to page 7.
- 18 A. Okay, I'm there.
- 19 Q. Dr. Steckel says, under Summary of
- 20 Conclusions, 1, "neither the Bortz survey nor
- 21 the Horowitz survey is sufficiently capable of
- 22 assisting the Judges in determining the
- 23 relative market value of the programming at
- 24 issue in this proceeding."
- Do you see that?

- 1 A. Yes, I do.
- 2 Q. Do you agree with that?
- 3 A. I think Dr. Steckel has a strong
- 4 opinion about whether these respondents can --
- 5 can perform with any degree of accuracy or
- 6 knowledge the constant sum question.
- 7 Q. But I am asking for your opinion. I
- 8 know what Dr. Steckel thinks. The question is,
- 9 do you --
- 10 A. I have already stated that survey data
- is insufficient for allocating value. There is
- 12 behavioral data available out there that can be
- used in conjunction with survey data to come up
- 14 with a fair allocation.
- 15 O. In our hypothetical hearing here,
- 16 where there is no such viewing data and no
- 17 weight is given to that data.
- 18 A. I think it is a tough decision, it
- 19 would be a tough decision on the part of the
- 20 Tribunal, to actually just accept the constant
- 21 sum data as it, when I know that viewing data
- 22 exists.
- 23 O. Okay. Now, you testified earlier that
- 24 there were certain improvements that you made
- in the Bortz survey, correct?

- 1 A. Yes.
- 2 O. And one of those improvements was to
- 3 add an "other sports" category, correct?
- 4 A. Yes.
- 5 Q. And "other sports" intended to reflect
- 6 those sports programs that did not fall within
- 7 the live professional and collegiate team
- 8 sports category, correct?
- 9 A. Yes.
- 10 O. And you considered all such "other
- 11 sports" to be within the Program Suppliers
- 12 category, correct?
- 13 A. I have come -- I have come to learn
- there is some programs in sports that are in a
- 15 different category.
- 16 Q. Okay. Let me just -- Geoff, could you
- 17 pull up that November 25th order here. And I
- want to focus not on the Commercial Television
- 19 Claimants category but on the Program Suppliers
- 20 category. Can you do that?
- 21 So this is the description of agreed
- 22 categories of Claimants in this proceeding.
- Let's actually go up to Program Suppliers,
- 24 Geoff.
- Did you review this order, by the way,

- 1 before you engaged in the process of designing
- 2 the survey?
- 3 A. I did not personally review it.
- 4 Q. Okay. This defines the Program
- 5 Suppliers category as syndicated, series,
- 6 specials, and movies, except those included in
- 7 the Devotional Claimants category. And then it
- 8 goes on to have a further definition.
- 9 You have not seen this definition
- 10 before?
- 11 A. I have not seen this definition in
- 12 this form.
- 13 Q. Is it clear, I guess it is from
- 14 reading this, that there is no reference in it
- 15 to "other sports," is there?
- 16 A. There is no reference in this
- 17 paragraph to "other sports."
- 18 Q. To be within the Program Suppliers
- 19 category, "other sports" would have to be a
- 20 syndicated series, specials, and movies,
- 21 correct?
- 22 A. I don't know. I don't personally know
- where "other sports" fits under those layman's
- 24 interpretation of these. It is not -- it would
- 25 not include sports.

- 1 O. Okay. It is fair to say that within
- 2 the category syndicated series, specials, and
- movies, there are a number of different types
- 4 of programs, correct?
- 5 A. Fair to say, yes.
- 6 Q. So, as a matter of fact, in your
- 7 survey, if we can just quickly go to your
- 8 testimony, page 36 as an example here, and down
- 9 at the bottom.
- 10 A. Yes.
- 11 Q. Small b there, syndicated series.
- 12 A. Um-hum.
- 13 Q. It says, "syndicated series such as
- 14 sitcoms, dramas, children's shows, talk shows,
- 15 reality shows, game shows, and other series
- 16 broadcast on" -- and then you would list the
- 17 station, correct?
- 18 A. Yes.
- 19 Q. So these are some of the different
- 20 types of syndicated series, sitcoms, right?
- 21 A. Yes.
- Q. And dramas?
- 23 A. Yes.
- Q. And children's shows?
- 25 A. Yes.

- 1 Q. Right? And so forth, all right. You
- 2 created a separate category here for "other
- 3 sports" in your survey, correct?
- 4 A. Yes.
- 5 Q. Did you give any consideration to just
- 6 simply listing "other sports" as -- I'm sorry,
- 7 Geoff. Could you put that back up?
- 8 Syndicated series, such as sitcoms,
- 9 dramas, children's shows, talk shows, reality
- shows, game shows, other sports, did you give
- 11 any consideration to that?
- 12 A. Did not give any consideration to
- 13 doing that.
- 14 JUDGE STRICKLER: The Program
- 15 Suppliers category in the Bortz survey makes --
- in its list includes specials. Given your
- 17 expertise in the industry, what does specials
- 18 mean?
- 19 THE WITNESS: Specials would mean
- 20 things like award shows or one-time events or
- one-time concerts of content like that and are
- 22 not part of a series or known category of other
- 23 types of content.
- JUDGE STRICKLER: Thank you.
- 25 BY MR. GARRETT:

- 1 Q. Infomercials are also a type of
- 2 syndicated series, correct?
- 3 A. I -- I don't know.
- 4 Q. Did you give any consideration to
- 5 creating a separate category for infomercials?
- 6 A. Did not.
- 7 Q. In Ms. Saunders' testimony in this
- 8 proceeding, she also goes through the various
- 9 types of programs that come within the Program
- 10 Suppliers category.
- And one of the things she refers to is
- 12 news programs.
- 13 A. She refers to news programs?
- 14 Q. News programs. Are you aware that
- 15 those also come within the -- some of them come
- 16 within the Program Suppliers category?
- 17 A. No.
- 18 Q. It looks like The McLaughlin Group,
- 19 Wall Street Journal Report, are you familiar
- 20 with those programs?
- 21 A. I have heard of those programs.
- 22 O. Okay. Now I ask you to turn for a
- moment to the Exhibit 1002, which is Mr.
- 24 Trautman's written direct testimony, which I
- 25 believe is Tab 4 in your binder.

- 1 A. I see 1002. I am flipping the pages.
- 2 And, yes, I see that.
- Q. And let me ask you to go to page 17.
- 4 That first full paragraph there, the first
- 5 sentence, Mr. Trautman says that there were 691
- 6 respondents who you asked to value other --
- 7 other sports.
- 8 Do you see that?
- 9 A. Half the 691 -- yes, I do see that.
- 10 Q. All right. That -- that -- you have
- 11 no reason to dispute that number as being
- 12 correct, do you?
- 13 A. I don't have any reason to dispute it,
- 14 but it is -- it is not clear what this number
- 15 represents, though.
- 16 Q. Well, I think the way I am reading it,
- and you tell me if I am wrong here, that you
- 18 had 691 survey respondents who you asked to
- 19 value or give value to the "other sports"
- 20 category?
- 21 A. This is not in any single year,
- though.
- O. No, this is over the period 2010
- 24 through '13.
- 25 A. Okay. All right.

- 1 Q. Does that sound about right to you?
- 2 A. Yes, sounds about right.
- 3 Q. And he says that about 308 of those
- 4 respondents carried WGNA as their only
- 5 commercial distant signal. Do you see that?
- 6 A. Yes.
- 7 Q. Does that sound right to you?
- 8 A. I have no reason to dispute that --
- 9 Q. Okay.
- 10 A. -- number.
- 11 Q. So for those 308 respondents, the only
- 12 commercial distant signal that they carried was
- 13 WGNA, right?
- 14 A. Yes.
- 15 Q. And he goes on to say that WGNA
- 16 televised less than two hours per year of
- 17 compensable other sports programming during
- 18 that period.
- 19 Do you see that?
- 20 A. I see that, that part of the sentence,
- 21 yes.
- 22 Q. And do you have any basis to dispute
- 23 that?
- 24 A. No.
- Q. At the time that you were designing

- 1 your questionnaire or questionnaires, were you
- 2 aware that there was less than -- there was two
- 3 hours or less of "other sports" programming on
- 4 WGNA?
- 5 A. No.
- 6 Q. Have you since become aware of that?
- 7 A. I have since become aware of that.
- 8 Q. And do you have any basis to dispute
- 9 that number?
- 10 A. No.
- 11 Q. Had you known that there was less than
- two hours of compensable "other sports"
- 13 programming on WGNA during these years, would
- 14 you have designed your survey to ask those
- respondents carrying WGNA about "other sports"?
- 16 A. I would have asked the other sports
- 17 value.
- 18 Q. Even with only two hours or less per
- 19 year?
- 20 A. Even with only two hours or less per
- 21 year.
- Q. And in one year it was 30 minutes.
- 23 You would still have asked the question to
- value the other sports?
- 25 A. That fact in and of itself would not

- 1 preclude asking the question.
- Q. Okay. The "other sports" in years
- 3 2011, 2012, and 2013 consisted of a single
- 4 horse race. Are you aware of that?
- 5 A. I'm not aware of that per se.
- JUDGE STRICKLER: You said before,
- 7 when I was asking you questions, you said the
- 8 examples of other sports were provided to you
- 9 by Program Suppliers. Is that correct?
- 10 THE WITNESS: That is correct.
- JUDGE STRICKLER: But it was your
- decision rather than your client's decision to
- 13 have another sports programming category?
- 14 THE WITNESS: The other sports
- 15 category was discussed as a design improvement
- 16 to the survey among the group of us working on
- 17 the survey.
- 18 JUDGE STRICKLER: Was it your
- 19 independent opinion that it should be a
- 20 separate category?
- THE WITNESS: Yes, since sports were
- 22 not included -- this kind of sports was not
- 23 included anywhere else.
- JUDGE STRICKLER: Thank you.
- 25 BY MR. GARRETT:

- 1 Q. And in formulating that opinion, did
- 2 you do any kind of research or analysis or
- 3 request any type of information as to how much
- 4 other sports programming there was on WGNA or
- 5 any other signal?
- 6 A. No, I did not.
- 7 Q. It didn't make any difference to you?
- 8 A. It didn't make any difference to me as
- 9 a survey predictor.
- 10 Q. Okay. Let me go -- let's stay on page
- 11 17. We're going to go to the second paragraph,
- 12 the second sentence.
- 13 A. Yes, I see that.
- 14 Q. It says: There was no compensable
- 15 "other sports" on WGNA during 2010 through '13
- 16 other than two hours of WWE Superstars in 2013
- 17 -- I'm sorry, in 2010.
- Do you see that?
- 19 A. Um-hum.
- Q. Were you aware of that fact when you
- 21 were designing your 2010 survey?
- 22 A. No.
- 23 Q. Because it didn't make any difference
- 24 to you or not, how much or how little
- programming was actually in the "other sports"

- 1 category?
- 2 A. The -- the programming category was
- 3 provided to me by the MPAA.
- 4 Q. And you didn't ask them whether or how
- 5 much of that programming was actually on WGNA?
- 6 A. No, I didn't.
- 7 Q. Because you decided it didn't make any
- 8 difference to you how much or how little?
- 9 A. Yes.
- 10 Q. Okay. Now, you used WWE Superstars as
- an example of "other sports" in 2010, correct?
- 12 A. Yes.
- 13 Q. Were you aware of any other
- 14 programming on -- "other sports" programming on
- 15 WGNA in 2010 besides WWE Superstars?
- 16 A. No. I shouldn't say no. This is from
- 17 recall now. Whatever examples were provided of
- 18 the programming is what we included in the
- 19 survey.
- 20 So was there another? Maybe there
- 21 was. I would have to look through and we could
- 22 go through it.
- Q. Well, that's my question. You said in
- 24 the 2010 survey that WWE Superstars is an
- example of "other sports" on WGNA, correct?

- 1 A. Yes.
- Q. What other "other sports" were there
- on WGNA in 2010, if this is an example?
- 4 A. The only ones that I would know about
- 5 were ones that were put as examples in our
- 6 survey. So I would have to look to see if
- 7 there were any others in our survey besides
- 8 that.
- 9 Q. All right. Well, let's go to your
- 10 questionnaire for 2010. I am going to use one
- 11 of the exhibits that we have.
- 12 For the record, 1133, 1134, 1135, and
- 13 1136 are the actual questionnaires. Well,
- 14 rather than me telling you, let me ask you to
- 15 turn to 1133.
- Do you have that, Mr. Horowitz?
- 17 A. 11 --
- 18 Q. It should be Tab 9.
- 19 A. I have 1133.
- 20 Q. That's the 2010 cable operator
- 21 questionnaire. Do you see it?
- 22 A. Yes.
- Q. Do you have that before you?
- 24 A. Yes.
- 25 Q. And I know that you had included as an

- 1 appendix to your report sort of a compilation
- of the different survey questions for 2013,
- 3 correct?
- 4 A. Yes.
- 5 Q. And in discovery you had produced to
- 6 us the full questionnaire for each of the five
- 7 types of systems that you had surveyed,
- 8 correct?
- 9 A. Yes.
- 10 Q. All right. So if we go to 2010, we
- see that the first six pages is a complete 2010
- cable operator questionnaire for Canadian-only
- 13 systems, correct?
- 14 A. Yes.
- 15 Q. And if we skip ahead to what really is
- 16 the seventh page, we have the complete 2010
- 17 cable operator questionnaire for your
- 18 non-network carrying stations. It says
- 19 stations, but it really means systems, correct?
- 20 A. Yes.
- Q. Then if we go a little further in, we
- 22 will see that we have the questionnaire for
- your PBS-only systems, your network carrying
- 24 systems, and then finally at the end the
- 25 WGN-only systems. Do you see that?

- 1 A. Yes.
- 2 Q. So let me ask Geoff to just bring up
- 3 that last, I believe it is a tab, the 2010
- 4 cable operator questionnaire for WGN-only
- 5 systems. And we will go over to page 4.
- Just so we're clear, this is the form
- 7 of the questionnaire that you would have
- 8 administered to a cable operator whose only
- 9 distant signal was WGNA, correct?
- 10 A. Yes.
- 11 Q. And on page 4 -- well, actually, let's
- go to page 5, where we get to the constant sum
- 13 question.
- 14 A. Yes.
- 15 Q. Number 6 there. That's the constant
- 16 sum question, correct?
- 17 A. Um-hum.
- 18 Q. And if we scroll down, I guess
- 19 actually we have to go over to the next page.
- 20 A. Yes.
- 21 O. Your category description is "other
- 22 sports programming broadcast on but it's
- 23 broadcast on WGNA, right, that's what you would
- 24 put there?
- 25 A. Yes.

- 1 O. And then examples include WWE
- 2 Superstars. Do you see that?
- 3 A. Yes.
- Q. Did you ask MPAA whether there were
- other sports besides WWE Superstars on WGNA in
- 6 2010?
- 7 A. We did not.
- 8 Q. Were you aware that WWE Superstars was
- 9 shown both on a compensable and a
- 10 non-compensable basis on WGNA during 2010?
- 11 A. Was I aware, no.
- 12 Q. Let me go, Geoff, switch back to Mr.
- 13 Trautman's testimony, page 17.
- 14 A. What exhibit is that?
- 15 Q. I'm sorry, this is 1001, which will
- 16 also be up on the screen there for you.
- 17 A. Yes, I see that.
- 18 Q. Page 17. I think we have the wrong
- one up on the screen here, Geoff. This is --
- 20 1002. My fault. My fault. Sorry.
- 21 A. 1002 is the exhibit? I'm sorry.
- 22 Q. So page 17 down near the bottom.
- 23 A. Yes.
- Q. And he says about four lines up, "the
- 25 2010 reference to wrestling as an example was

- 1 particularly problematic because WGNA did
- 2 televise 138 episodes of WWE Superstars in 2010
- on a non-compensable basis."
- 4 Do you see that?
- 5 A. Yes.
- 6 Q. All right. Do you have any basis to
- 7 dispute that?
- 8 A. No.
- 9 Q. Did you ask the MPAA whether the
- 10 example that you gave was an example of a
- 11 program that was actually shown both on a
- 12 compensable and non-compensable basis?
- 13 A. No.
- Q. And the way you dealt with this, as I
- 15 understand it, is you instructed the
- 16 respondents to disregard any programming that
- 17 would have been blacked out; is that correct?
- 18 A. Yes.
- 19 Q. And what is the basis for concluding
- that the respondents would have known that 138
- 21 episodes of WWE had been blacked out on WGNA
- and that only two of those total 140 episodes
- were actually compensable?
- 24 A. As you have picked out an extreme
- 25 example, and -- but it fits under the category

- of what these respondents were expected to do
- in answering everyone's survey.
- Q. Well, you referred to it as an extreme
- 4 example, but, once again, this is the survey
- 5 that was administered to all of the respondents
- 6 who carried WGNA-only, correct?
- 7 A. Yes.
- 8 Q. And approximately 30 percent of your
- 9 respondents were respondents who carried WGNA
- 10 only, correct?
- 11 A. Yes.
- 12 O. And so in all cases, for those
- 13 respondents, they were told that an example of
- "other sports" was WWE Superstars, correct?
- 15 A. Yes.
- 16 O. And WWE Superstars was, in fact,
- 17 retransmitted -- was not retransmitted on the
- 18 WGNA signal on a compensable basis, correct?
- 19 A. Other than two, is that what --
- 20 O. Other than -- 140 total. Two were
- 21 retransmitted on a compensable basis, correct?
- 22 A. And your question is?
- 23 O. I have this problem. What is the
- 24 basis for your concluding that those
- 25 respondents would have understood, simply by

- 1 being instructed to disregard the blacked-out
- 2 programming, that only two of the 140 episodes
- of WWE Superstars were compensable?
- 4 A. Our assumptions were about respondents
- 5 in the entire survey about being able to know
- 6 what was compensable and non-compensable, what
- 7 programs are carried and not.
- 8 Q. And how to value those programs, too,
- 9 I assume?
- 10 A. I'm sorry?
- 11 Q. Your assumption was also that they
- 12 knew how to value the different types of
- 13 programming?
- 14 A. Yes, would be incorporated into their
- value -- the value of that programming, yes.
- Q. Because that's their job, that's their
- 17 business, knowing how to place value on
- 18 different types of programming, correct?
- 19 A. Yes.
- 20 MR. OLANIRAN: I don't have an
- 21 objection this time. I just wondered if Mr.
- 22 Horowitz could move a little bit closer to the
- 23 microphone so we could hear him.
- JUDGE BARNETT: Thank you.
- MR. GARRETT: I'll tell you what he

- 1 said.
- 2 MR. OLANIRAN: That's what I was
- 3 afraid of.
- 4 (Laughter.)
- 5 MR. GARRETT: Your Honor, I don't want
- to impose on timing here, but if you want to
- 7 take a lunch break, this is fine now or I will
- 8 keep going ahead, too.
- JUDGE BARNETT: How much more do you
- 10 have?
- MR. GARRETT: Probably an hour.
- 12 JUDGE BARNETT: In that case, why
- don't we -- is this good? I take it this is a
- 14 good place to take a break?
- 15 MR. GARRETT: Otherwise I would be
- 16 fumbling through my papers here, Your Honor.
- JUDGE BARNETT: We don't want to watch
- 18 the fumbling.
- 19 JUDGE STRICKLER: You can fumble after
- 20 lunch.
- MR. GARRETT: Hopefully that won't
- 22 happen. I will have it all in order by then.
- JUDGE BARNETT: We will reconvene at
- 24 12:55.
- 25 (Whereupon, at 11:56 a.m., a lunch recess was

1	taken.)
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1	AFTERNOON SESSION
2	(1:00 p.m.)
3	JUDGE BARNETT: Please be seated.
4	Mr. Garrett?
5	MR. GARRETT: Thank you, ma'am.
6	JUDGE BARNETT: You may now fumble
7	through your papers.
8	MR. GARRETT: I am done fumbling, and
9	I think I reduced my time estimate here. It's
10	good news.
11	BY MR. GARRETT:
12	Q. Good afternoon, Mr. Horowitz.
13	A. Good afternoon.
14	Q. Before we broke, we were talking about
15	the selection of particular examples. Do you
16	recall that?
17	A. Yes.
18	Q. And as I understand it, just to recap

- here, it was the MPAA who provided you with the 19
- examples for each of the different program 20
- categories in your survey, correct? 21
- Α. Yes. 22
- And that fact is not in your report 23 Q.
- any place, is it? 24
- 25 Α. I'm sorry?

- 1 Q. The fact that the MPAA provided you
- 2 with the examples for the survey is not
- 3 something you mention in your report?
- 4 A. I'm taking your word for it. Is there
- 5 a reference to it somewhere in a page maybe?
- 6 Q. No, I couldn't find it. I was
- 7 wondering if I missed something.
- 8 A. Yeah, I don't -- I don't particularly
- 9 recall making that statement.
- 10 O. Okay. And the examples that they
- 11 provided you pretty much accepted that they
- were representative of programming categories?
- 13 A. Yes.
- 14 Q. And how exactly did you receive these
- 15 examples? Did you receive them in e-mail form
- or did they give them to you over the
- 17 telephone? Do you recall?
- 18 A. I don't recall the form. It would not
- 19 have been verbal, I don't think.
- 20 O. You think there is some document that
- 21 would have embodied the particular examples
- that they provided you; is that correct?
- 23 A. That might exist somewhere, yes.
- O. Okay. And let me -- Geoff, can you
- just bring up that February 17th, 2017 letter.

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1 I'll represent to you, Mr. Horowitz,
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- this is a letter from your counsel to us
- 3 dealing with some -- various discovery
- 4 requests.
- And, Geoff, if you go down to page 16.
- We had asked for all documents that
- 7 were related to the different examples that
- 8 were included in the surveys.
- JUDGE STRICKLER: Is that Number 3 on
- 10 the --
- MR. GARRETT: It's Number 3 and Number
- 12 4.
- JUDGE STRICKLER: Thank you.
- 14 BY MR. GARRETT:
- 15 Q. And the response is "Mr. Horowitz
- 16 relied on his professional knowledge and
- 17 experience in forming this statement, and did
- 18 not rely on or consider any documents."
- 19 Do you see that?
- 20 A. Yes.
- Q. Did you consider any documents or rely
- 22 on documents from the MPAA in deciding the
- 23 examples to be produced?
- 24 A. In formulating the questionnaire?
- 25 Q. The examples to be produced or to be

- 1 included in the questionnaire.
- 2 A. I personally don't recall handling any
- 3 documents.
- 4 Q. How did you receive the examples?
- 5 A. I don't know if I received them.
- 6 Q. Well, they are in your survey, right?
- 7 A. They are.
- 8 Q. And you got them from the MPAA?
- 9 A. Yes.
- 10 Q. And you can't recall whether you got
- 11 them orally or via e-mail or via --
- 12 A. Or if I personally -- of if I
- 13 personally got them at all.
- 14 Q. Well, who would have gotten them?
- 15 A. Someone on my staff.
- 16 Q. And you don't know what they received?
- 17 A. No.
- 18 Q. Okay. Now we can take that down.
- Now, you also talked this morning
- 20 about instructions that had been given to the
- interviewers -- well, let me go back a second.
- You talk about one of the advantages
- of your survey was that a single individual
- 24 would respond for multiple systems, correct?
- 25 A. A single individual would respond for

- 1 multiple systems they were responsible for,
- 2 correct.
- 3 Q. And so we're clear on this, if you had
- 4 one person who responded for, I guess, as many
- 5 as 60 different systems, does that sound right?
- 6 A. Could there have been a person? There
- 7 could be, yes.
- 8 Q. And as I understand it, if those 60
- 9 systems, 30 carried the same signal, they would
- only be asked or administered the survey once,
- 11 correct, for all 30 systems?
- 12 A. Yes.
- 13 Q. And were they, respondents, asked to
- 14 give separate evaluations for each of the
- 15 systems?
- 16 A. No.
- 17 Q. You would identify for that respondent
- 18 the 30 systems for which they were responding,
- 19 correct?
- 20 A. They were only identified one system.
- Q. And they were not told the identity of
- the other 29 systems?
- 23 A. That's correct.
- 0. So if -- and then whatever response
- 25 that they gave, you would then apply across all

- 1 30 systems, correct?
- 2 A. Yes.
- 3 Q. Is it possible that that respondent
- 4 would have responded differently had they been
- 5 asked about each of those systems individually?
- 6 A. Possibly.
- 7 Q. Well, assume, for example, you have a
- 8 respondent who's carrying only WGNA. Got it so
- 9 far?
- 10 A. Assuming only WGNA, yes.
- 11 Q. Carrying only WGNA. Do you think a
- 12 respondent would answer differently for a
- 13 system, say, in Iowa versus Southern
- 14 California?
- 15 A. Probably not.
- 16 Q. And why is that?
- 17 A. Because, generally speaking, they
- 18 would value these things the same when they --
- 19 Q. There would be no regional
- 20 differentiation?
- 21 A. Not necessarily be any regional
- 22 differentiation.
- 23 Q. The local news on WGNA would be
- 24 equally important in Southern California as it
- 25 would be in, say, down state Illinois?

- 1 A. I don't know if there would be a
- 2 difference or not.
- 3 Q. Okay. But the respondents were not
- 4 told that they were giving values for all 30
- 5 systems in my hypothetical here, were they?
- 6 A. You know, I don't know if they were
- 7 told that.
- 8 Q. You're not certain what they were
- 9 instructed?
- 10 A. I'm not sure what they were instructed
- 11 as far as -- what they were told, not
- 12 instructed.
- 13 Q. Well, did you -- you said that any
- 14 kind of instructions might have been included
- in some documents at the field house, correct?
- 16 A. Yes.
- 17 Q. What is the field house, exactly?
- 18 A. What is the field house? It's a
- 19 company called GMRS, Global Market Research
- 20 Services.
- 21 Q. And did you provide GMRS with any
- 22 instructions on how to administer the survey
- 23 here?
- 24 A. We did.
- 25 Q. Did you provide them with instructions

- 1 that would say that in case of this respondent
- 2 answering for multiple systems, that they
- 3 should or should not be told the identities of
- 4 the systems for which they were responding?
- 5 A. They -- they might have been given
- 6 instructions about what -- you know, what to do
- 7 with -- with -- when they had multiple systems.
- 8 Q. Well, just so I am clear, the question
- 9 is whether or not you provided your field house
- 10 here with instructions about how to handle the
- 11 situation where one individual was going to be
- making determinations about 30 odd systems?
- 13 A. In a general sense, I know they were
- 14 told to do one interview.
- 15 O. Okay. And were these instructions in
- 16 writing?
- 17 A. I don't know.
- 18 Q. Okay. And in the case of the one
- 19 respondent answering on behalf of 30 systems,
- 20 do you identify any of the systems for which
- 21 they were responding?
- 22 A. The system in question on the survey.
- 23 Q. Right, but they were answering for 30
- 24 different systems, right?
- 25 A. Yes.

- 1 Q. Right?
- 2 A. Yes.
- 3 O. And you selected one of the 30; is
- 4 that right?
- 5 A. Selected one of the 30.
- Q. And then whatever responses they gave,
- 7 you used for all 30?
- 8 A. Yes.
- 9 Q. All right. Let me switch here to a
- 10 discussion you had this morning about the
- 11 warm-up questions.
- 12 Do you recall that?
- 13 A. Yes.
- Q. And if I could ask you to turn to your
- 15 written direct testimony. And we'll go to
- 16 Appendix A and just use the questionnaire that
- 17 you have there.
- 18 A. Did you say page 30?
- 19 Q. No, Appendix A.
- 20 A. Oh, Appendix A. Is there a page
- 21 number?
- 22 Q. Well, it begins on page 23. But let's
- go to page 25 and Question 4 of that survey.
- 24 A. I'm sorry, I did not follow where
- you're sending me.

- 1 Q. We're going to go to page 25.
- 2 A. 25.
- 3 Q. Of your testimony.
- 4 A. Yes.
- 5 Q. Exhibit 6012. Go to Question 4, one
- of your warm-up questions.
- 7 A. Um-hum.
- 8 Q. So this is the question you asked
- 9 them, whether they featured in their
- 10 advertising and promotion any of the particular
- 11 program categories.
- 12 Do you see that?
- 13 A. Yes.
- 14 Q. And that's essentially the same
- 15 question that the Bortz folks had asked in the
- 16 2004-'05 survey, correct?
- 17 A. Yes.
- 18 Q. But they changed it for 2010 through
- 19 2013, correct?
- 20 A. Yes.
- 21 Q. And do you know why they changed it?
- 22 A. I don't know why they dropped that,
- 23 those --
- 24 Q. All right. Well --
- 25 A. They dropped it.

- 1 Q. Yeah. Well, I'll just represent to
- you it's because of questions that were raised
- 3 by the Judges in the '04-'05 proceeding as to
- 4 the usefulness of the data.
- 5 Let me just ask you to turn to page 18
- of your testimony. This is where you report
- 7 the results of that Question 2. Do you see
- 8 that? I'm sorry, Question 4.
- 9 A. Yes.
- 10 Q. Right?
- 11 A. Um-hum.
- 12 Q. That's yes?
- 13 A. Question -- page 18?
- 14 Q. Yes.
- 15 A. Results, Question 4, yes.
- 16 Q. All right. And so am I reading this
- 17 right that in the year 2010, on the right, that
- 18 92 percent of the respondents said that they
- 19 did not feature any of the program categories
- 20 on distant signals?
- 21 A. Yes.
- Q. And the comparable number in 2011 was
- 23 65 percent, correct?
- 24 A. Yes.
- 25 Q. And 2012, 93 percent?

- 1 A. Yes.
- 2 Q. And 97 percent in 2013?
- 3 A. Yes.
- 4 O. So -- and these results were similar
- 5 to the results that the Bortz folks are getting
- in the 2004-'05 survey, correct?
- 7 A. I don't recall the results of that
- 8 survey.
- 9 Q. Now, we also discussed or you also
- 10 discussed this morning the change that the
- Bortz folks made in the constant sum question. .
- 12 Do you recall that?
- 13 A. Yes.
- Q. And in '04-'05, they talked about the
- 15 value to the cable system in terms of
- 16 attracting and retaining subscribers, correct?
- 17 A. Yes.
- 18 Q. And they dropped that in the 2010
- 19 through '13, correct?
- 20 A. Yes.
- Q. Do you understand why they dropped it
- 22 in 2010 through '13?
- 23 A. There was some -- some testimony that
- 24 they wanted to broaden the category of --
- 25 broaden the definition of value.

- 1 Q. All right. So if we could turn,
- 2 Geoff, to the 2004-'05 decision, which is
- 3 Exhibit 6033.
- JUDGE BARNETT: Mr. Olaniran?
- 5 MR. OLANIRAN: Your Honor, I don't
- 6 think that exhibit has been admitted yet.
- 7 JUDGE BARNETT: Is it being offered?
- MR. GARRETT: I was not aware that it
- 9 hadn't been admitted. I'm not going to offer
- 10 it, but it is the decision of the Judges in the
- 11 '04-'05 case. I think regardless of whether
- it's in evidence or not, it's something that
- can fairly be referred to here, Your Honor.
- 14 JUDGE BARNETT: We can take official
- 15 notice.
- 16 MR. OLANIRAN: Thank you.
- 17 BY MR. GARRETT:
- 18 Q. Geoff, if you go up to page 57065.
- Do you have that before you there,
- 20 Mr. Horowitz?
- 21 A. Yes, I do.
- 22 Q. All right. If you go down at the
- 23 bottom right-hand corner there, there's a
- 24 paragraph beginning "yet." Do you see that?
- 25 And then we continue over to the next

- 1 -- well, let's just go to the next page, Geoff.
- 2 Actually, let's go back to the one you
- 3 just had. So you see they're talking about the
- 4 Bortz decision to define the key relative value
- 5 question in terms of attracting and retaining
- 6 subscribers? Do you see that? It's
- 7 highlighted on the screen.
- 8 A. Yeah, okay, on the screen, yes.
- 9 O. And then now we'll go over to the next
- 10 page. And there's a discussion that continues
- for a couple of columns, but let me just ask
- 12 you to hone in on the middle column, right
- above the footnote beginning "in short."
- 14 A. Okay.
- 15 O. It said, "The preferences expressed by
- 16 cable system operators who answer the Bortz
- 17 survey, where the key relative value question
- is limited to defining worth only in terms of
- 19 attracting and retaining subscribers, either
- 20 may implicitly reflect more than an actual
- 21 underlying subscriber demand for the
- 22 programming that appears on a particular
- 23 distant signal station or, alternatively,
- 24 unrealistically minimize factors such as
- 25 whether the input in question is more

- 1 attractive than a cable network alternative in
- 2 terms of the net revenue or profit maximization
- 3 goals of the buyers."
- 4 Do you see that?
- 5 A. I do.
- 6 Q. Do you understand that the reason that
- 7 the Bortz folks changed the survey was to help
- 8 respond to that particular concern of the
- 9 Judges in the '04-'05 case?
- 10 A. My immediate reading of this is that
- 11 it conforms to what I had thought was the
- 12 notion to broaden the concept of what's
- included under value.
- 14 Q. Okay.
- 15 A. That's how I read this.
- 16 Q. And also just -- and so they
- 17 eliminated the reference, specific references
- 18 to subscriber attraction and retention,
- 19 correct?
- 20 A. They eliminated that from their entire
- 21 survey.
- Q. And if we go to your key question,
- Number 6 -- if we can go to your -- go to page
- 24 36 of your testimony.
- 25 A. I see page 36.

- 1 Q. All right. And this is the constant
- 2 sum question here in the middle?
- 3 A. Yes.
- 4 Q. And you see if we go down about five
- 5 paragraphs, it says "in formulating your
- 6 percentage"? Do you see that?
- 7 A. Yes.
- 8 Q. It says, "Please think about all the
- 9 factors we have been discussing, including
- 10 using this programming in your advertising and
- 11 promotions in 2010 to '13 to attract and retain
- 12 subscribers."
- 13 Do you see that?
- 14 A. Yes.
- Q. All right. So that's -- I mean, that
- 16 factor that you included in there, that's the
- one that kind of relates to the promotion
- 18 question where somewhere between 60 and
- 19 97 percent of the respondents say that they
- 20 don't feature?
- 21 A. Yes.
- Q. Okay. And then you say the importance
- of this programming to you and your
- 24 subscribers; do you see that?
- 25 A. Yes.

- 1 O. And that's essentially the concept
- that the Bortz folks had in the constant sum
- 3 question in 2004-'05, correct?
- 4 A. Yes.
- 5 Q. And that's the one that the Judges had
- 6 concern about, correct?
- 7 A. About its limitation to that.
- 8 Q. Yeah. Correct?
- 9 A. Yes.
- 10 Q. And then you say "and any other
- 11 consideration that you may have."
- 12 A. Yes.
- 13 O. And the difference between this
- 14 formulation of the constant sum question and
- the Bortz formulation is that Bortz was just
- 16 basically left it open-ended, that it did not
- 17 try to limit the respondents to thinking about
- 18 attraction and retention of subscribers?
- 19 A. It did not provide any information to
- 20 the...
- Q. Okay. Let me ask you to turn to
- 22 Exhibit 1141, which is the -- a spreadsheet.
- Oh, I'm sorry, you won't find it there. We're
- 24 only going to be able to put -- this is a
- 25 rather thick spreadsheet here.

1	JUDGE BARNETT: And I believe this
2	is
3	MR. GARRETT: And it's restricted.
4	JUDGE BARNETT: part of that
5	restricted material.
6	MR. GARRETT: Yes.
7	JUDGE BARNETT: We'll mark the record
8	as restricted, and I don't think there is
9	anyone in the room who needs to leave, but
10	we'll close the door.
11	(Whereupon, the trial proceeded in
12	confidential session.)
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- 1 OPEN SESSION
- 2 CROSS-EXAMINATION
- 3 BY MR. COSENTINO:
- 4 Q. Good afternoon, Mr. Horowitz.
- 5 A. Good afternoon.
- 6 O. My name is Victor Cosentino. I am an
- 7 attorney for the Canadian Claimants group.
- 8 I want to start by asking you to take
- 9 a look at your testimony, Exhibit 6012. And
- 10 I'm going to direct you to page 3.
- 11 A. Page --
- 12 Q. 3.
- 13 A. Yes.
- Q. Okay. Now, let's see here. There's a
- 15 sentence here I want you to take a look at
- where in this second full paragraph you talk
- 17 about improvements. And then you say,
- 18 "Notwithstanding these improvements, it is my
- opinion the Horowitz survey is not a substitute
- 20 for behavioral data such as viewing."
- 21 A. Yeah.
- Q. Is that still your position?
- 23 A. That is my position.
- 24 O. And would your position be the same if
- 25 that said the Bortz survey?

- 1 A. Excuse me?
- Q. Would your position be the same if
- 3 that said the Bortz survey?
- 4 A. It would be the same as not a
- 5 substitute for viewing data.
- 6 Q. Okay. And is viewing the only kind of
- 7 behavioral data that you would use, or in your
- 8 experience is other types of behavioral data
- 9 better than survey data?
- 10 A. In this case, it would be viewing.
- 11 Q. In this case, you would use viewing?
- 12 A. Yeah.
- Q. But in your experience in other areas,
- do you generally use behavioral data or survey
- 15 data when both are available?
- 16 A. Well, we use survey data. That's what
- 17 we generate. Our clients often have behavioral
- 18 data, whether it's purchase behavior, viewing
- 19 behavior, reading behavior, or -- to match up
- 20 with our surveys to formulate their own
- 21 marketing operations, program development
- 22 decisions.
- O. Okay. But in the research area, is
- there a preference for behavioral data over
- 25 survey data?

- 1 A. There would be a hierarchy of -- in a
- 2 general sense, of behavioral data versus survey
- 3 data. Survey data might be used to understand
- 4 why the behavioral data, but not to -- not to
- 5 change what the behavioral data is indicating.
- 6 Q. Okay.
- 7 A. Often we're asked to do a survey --
- 8 we're finding this behavioral data; can you do
- 9 a survey so we can find out from consumers or
- 10 viewers why it is that they're doing this
- 11 behavior?
- 12 Q. All right. Now, I want to dig a
- 13 little into your survey. As I understood it,
- 14 reviewing your survey -- your report, there's
- 15 actually the sample of 300 systems, right, but
- 16 you actually surveyed a much larger group of
- 17 people, right?
- 18 A. Yes.
- 19 Q. Okay. And if we look at your
- testimony on page 12, this table, Table 1.1,
- 21 shows information about how many respondents
- you had from each sample of 300, correct?
- 23 A. We successfully -- how many systems we
- 24 successfully covered from a sample of 300.
- 25 Q. Okay. But, again, there were more --

- there were more respondents to your survey than
- 2 those who appear in your sample?
- 3 A. Yes.
- Q. Okay. And the data reported by
- 5 Dr. Frankel is just based on your sample,
- 6 right?
- 7 A. Yes.
- 8 Q. Okay. I'm just trying to clarify at
- 9 this point.
- 10 A. No, I understand.
- 11 Q. Okay. Now I want to take a look at
- 12 Exhibit 1140, which was previously admitted. I
- don't think it's in front of you there.
- MR. COSENTINO: And, Your Honor, this
- 15 exhibit is -- I'm going to use a paper version.
- 16 It's restricted.
- 17 JUDGE BARNETT: All right. Are you
- 18 going to ask about the substance?
- 19 MR. COSENTINO: I am not going to ask
- 20 about the substance of it at this point. I
- just want to show some small pieces of
- 22 information and get clarification as to what
- 23 they mean.
- 24 JUDGE BARNETT: In prior hearings,
- 25 we've had the ability to turn off the

- 1 forward-facing monitors and only leave the ones
- on that are on counsel table or on the bench.
- 3 I'm not sure if we -- I mean, basically turn
- 4 off the big monitors. I don't know if we have
- 5 that ability or not.
- 6 MR. COSENTINO: Thank you.
- 7 (Laughter.)
- 8 JUDGE BARNETT: Thank you. Solved.
- 9 In that case, we won't close the record or the
- 10 room, Mr. Cosentino, so long as you do not ask
- any questions on the record that reveal the
- 12 confidential nature of the material.
- MR. COSENTINO: Okay. At this point,
- 14 I am not going to do that.
- But could you turn the ELMO on for me?
- 16 BY MR. COSENTINO:
- 17 Q. Okay. Let's start with the wide view.
- 18 Mr. Horowitz, this is a printout of a
- 19 spreadsheet that you provided, I believe, of
- 20 results for Bortz.
- 21 MR. OLANIRAN: We can barely see.
- 22 MR. COSENTINO: I know. But I'll get
- 23 to it.
- 24 MR. GARRETT: Your Honor, we're happy
- 25 to use our copy that we were using before, if

- 1 that would help Mr. Cosentino. We can put it
- 2 up on the screen rather than on the ELMO.
- JUDGE BARNETT: Is it the same, the
- 4 same material?
- 5 MR. GARRETT: It's our exhibit, right?
- 6 MR. COSENTINO: Yes. I mean, that
- 7 would be great. Thank you.
- 8 MR. GARRETT: Geoff, can you do that
- 9 for us?
- MR. COSENTINO: And, Geoff, this is
- 11 Exhibit 1140. Great. That's exactly where I
- 12 want to be.
- 13 BY MR. COSENTINO:
- 14 Q. Mr. Horowitz, the first question I
- 15 have for you is this code star in the upper
- 16 left-hand corner, can you explain what that
- 17 means?
- 18 A. No.
- 19 Q. Okay. Then -- but you do note that
- 20 underneath it, each of these rows has a number
- 21 associated with it, correct?
- 22 A. Each of these rows has a number in the
- 23 column A.
- Q. Correct, thank you.
- 25 And, Geoff, could you go all the way

- 1 down to the bottom of this?
- 2 JUDGE FEDER: Could we just first
- 3 identify what this is?
- 4 BY MR. COSENTINO:
- 5 Q. I'm sorry, this is Exhibit 1140. It's
- 6 the 2013 survey data from Horowitz.
- 7 JUDGE FEDER: So these are the results
- 8 of the data?
- 9 MR. COSENTINO: Yes, which has
- 10 previously been admitted.
- JUDGE FEDER: Thank you.
- 12 BY MR. COSENTINO:
- 13 Q. All right. And stop there.
- 14 A. Okay.
- 15 O. Take a look at these codes and tell
- me, if you now understand what it means, if you
- 17 can explain it to us.
- 18 A. Yes.
- 19 Q. Okay.
- 20 A. It's a code for which part of the
- 21 sample that data is from. That data is from
- the 300 sub-sample and was -- Number 1, and was
- 23 completed. And these are reported in my
- 24 testimony.
- 25 Q. Okay.

- 1 A. Subset 2 is from the 300 sub-sample
- and not completed, that was shown on the sample
- 3 disposition as not having been completed or
- 4 interviewed.
- 3 is interviews from systems that are
- 6 not in the 300 interviews -- 300 system sample
- 7 and completed. We collected that data.
- And 4 is the same, not from the 300
- 9 interview sub-sample but we did not -- and we
- 10 did not complete those surveys.
- 11 Q. Okay. The last line item here of 799,
- let's call it about 800, there are about 800
- 13 systems listed here. Your sample was designed
- 14 based on however many were in the population,
- 15 correct?
- 16 A. Yes.
- 17 Q. Okay. And, Geoff, you can take this
- 18 down. And can you give me back the control
- 19 here? Thank you.
- 20 So of those 800 systems in 2013, 200
- 21 were used in your results, correct?
- 22 A. Yes.
- 23 O. Okay. And is 200 an adequate number
- 24 out of 800 to get valid and reliable results?
- 25 A. It's 200 out of 300.

- 1 O. Well, okay. Is 200 out of 300
- 2 reliable?
- 3 A. That's a good response rate.
- 4 Q. Okay. Would 50 out of 200 be reliable
- 5 and valid?
- A. We'd have to have a discussion about
- 7 valid and reliable.
- 8 Q. Okay.
- 9 A. If we talk to 50 executives about
- 10 their decisions as a business or a government
- or entity, we could discuss whether, boy, we'd
- 12 like to rely on these data. It's 50 experts.
- 13 It was only a small number. Do the other 250
- 14 have a different opinion? We'd have to
- 15 consider all those factors before deciding that
- 16 we wanted to rely on, a la the word
- "reliable," or consider the results valid.
- 18 Q. Okay. So as the number you
- interviewed out of your whole sample decreases,
- 20 this becomes a bigger concern for you?
- 21 A. It becomes a concern.
- 22 O. Okay. What about 10 out of 300?
- 23 A. Ten out of 300? We'd consider that
- 24 qualitative information.
- 25 Q. You would consider it --

- 1 A. In a focus group, saying, well, focus
- group of these 10, we wonder what the rest of
- 3 the universe is like. And we might be tempted
- 4 to do further research or decide that we may
- 5 already know what -- so 10 is a qualitative.
- 6 Q. Okay. But it's not a quantitative
- 7 analysis?
- 8 A. Right, correct.
- 9 Q. Okay. And would you do those 10 still
- in a survey telephone interview format?
- 11 A. Excuse me?
- 12 Q. You would do those 10 in a survey
- 13 interview format?
- 14 A. We would --
- 15 Q. That's what you did here, right?
- 16 A. In a hypothetical case, that's the
- 17 question you're asking me; is that correct?
- 18 Q. Yes.
- 19 A. If we got 10 out of 300?
- 20 Q. If you got 10 out of 300?
- 21 A. Yes, that's how we would relate to
- 22 those data.
- Q. Okay. Would you extrapolate those 10,
- 24 the results of those 10 to the value ascribed
- 25 by the entire 300?

- 1 A. No, not with -- unless somebody
- 2 presented some great case for doing that. I'm
- 3 not trying to imagine would that would be.
- 4 Q. Okay. And now do you know how many
- 5 respondents had Canadian signals in the
- 6 Horowitz survey?
- 7 A. No, I don't.
- 8 O. Okay. So in 2013, I believe it was 8.
- 9 And in 2012 and 2011, it was 7.
- 10 A. Um-hum.
- 11 Q. And in 2010, it was 1.
- 12 A. Um-hum.
- 13 Q. Do you believe that is data that you
- 14 can rely on for a quantitative market value?
- 15 A. It is a valid part of the -- of the --
- 16 from 200 interview sample, not evaluated
- 17 separately, but evaluated in the context of all
- 18 the interviews.
- 19 Q. So you believe that adding in the
- 20 results from those 7 or 8 or 1 to the results
- 21 from the 300 gives you valid --
- 22 A. They're a part of the result of the
- 23 300.
- Q. But you only have opinion or
- information from one person in 2010.

- 1 A. A small sub-cell of the sample, yes,
- 2 Canadian-only television. Not an unusual case
- 3 in survey research.
- Q. Okay. And yet you still believe it's
- 5 reliable?
- 6 A. The overall results of the sample is
- 7 reliable, yes.
- 8 Q. Okay. Now, I want to direct you to
- 9 your testimony again, and this is page 25 and
- 10 we're in your survey questionnaire.
- 11 And these are items -- at the bottom
- here, we're looking at items A, B, and C, which
- 13 are news and community events, syndicated
- 14 series, and movies. If we move to the next
- page, we pick up D, which is sports, and E,
- 16 which is other supports, and F, which is
- 17 devotional.
- 18 A. Um-hum.
- 19 Q. And G, which is PBS, and H, which is
- 20 programs broadcast only on Canadian stations.
- 21 Correct?
- 22 A. Yes.
- Q. Now, with regard to category G, which
- is programs broadcast only on PBS stations, and
- 25 category H, programs broadcast only on Canadian

- 1 stations, those require the respondent to
- 2 answer about all the programming on those
- 3 signals, correct?
- 4 A. Yes. Yes, it does.
- 5 Q. Okay. And the other categories are
- 6 just a programming type?
- 7 A. Yes.
- 8 O. Okay. Now, this is a question -- this
- 9 question is something you emulated from Bortz,
- 10 correct?
- 11 A. Yes.
- 12 Q. Okay. If you had designed this
- 13 question, would you have compared entire
- 14 signals to programming categories, if you were
- 15 designing it from scratch?
- 16 A. Well, let's look at the overall task
- 17 going back to your reference to -- because I
- 18 got this from Bortz. This -- these are the
- 19 categories in front of this tribunal. That's
- 20 really where the source of --
- O. Well, that's not really true, right?
- In the case of the Canadians, we're not asking
- for the entire value of the signal. We're only
- 24 asking for our programming on it, correct?
- 25 A. In this case, we're asking for -- I

- 1 believe this is the point you're making, we're
- 2 asking for the entire value of the -- of the
- 3 signal.
- Q. Right. You're asking for the entire
- 5 value of the signal?
- 6 A. Yes.
- 7 Q. But the Canadian Claimants group is
- 8 asking for the value of Canadian programming on
- 9 the Canadian signal. Not the Joint Sports
- 10 programming, not the Program Suppliers
- 11 programming. And as you discussed earlier
- 12 today with Mr. Lutzker, not the Settling
- 13 Devotional Claimant programming.
- 14 A. Right.
- 15 Q. But your question asks for an entire
- 16 signal's worth of content. Do you understand
- 17 the difference?
- 18 A. I understand the difference. I'm
- 19 trying to understand that you're saying that
- 20 these -- this other content that deserves
- 21 allocation of value is on your signal. Not --
- in other words, you have stuff that we're
- giving allocated value to the Canadian station
- 24 that belongs to other people. Is that what
- 25 you're saying?

- 1 O. That's what I'm saying.
- 2 A. It could be. I was not aware of that.
- Q. Okay.
- 4 A. Like you said, that this content on
- 5 your station that belongs to somebody else.
- 6 Q. So if you were trying to get at the
- 7 value of Canadian programming only, would you
- 8 ask it as an entire signal or would you ask it
- 9 as a category?
- 10 A. Well, we -- it's sort of a little bit
- of speculation. We'd have to examine whether
- 12 -- similar caveats would need to be used as
- we've used for compensable and not, as far as
- what the respondents should pay attention to on
- 15 account of the signal and not pay attention to
- in allocating value to the content.
- 17 O. Okay. You didn't understand that
- 18 distinction, though, before we talked about it
- 19 today?
- 20 A. I didn't understand the -- that
- 21 there's carriage on Canadian channels that
- 22 should be credited to somebody -- other
- 23 category.
- 24 O. Okay. This morning you talked about
- 25 pre-testing and you said that in the case of

- 1 executives, you didn't feel it was necessary to
- 2 pretest, correct?
- 3 A. Yes.
- Q. Now, that's because you believed that
- 5 the executives are knowledgeable?
- 6 A. They are knowledgeable, yes.
- 7 Q. Okay. But you never actually tested
- 8 that, correct? I mean, there's no -- there was
- 9 never actually an empirical test done by
- 10 Horowitz to determine if these cable system
- operators understood the question and what --
- in a way that let us rely on the results,
- 13 right? You never tested it?
- 14 A. We did not do a test of that nature.
- 15 O. Okay. You didn't test it to determine
- if there was any ambiguity in the question,
- 17 correct?
- 18 A. Correct.
- 19 Q. You didn't test it to determine what
- they thought you meant when you talked about a
- 21 value or shares on a constant sum, correct?
- 22 A. Correct.
- 23 Q. Okay. Now I want to look at --
- 24 earlier today with Mr. Garrett, you were going
- 25 through some spreadsheets of cable system

1	information. Okay? And one of the ones he
2	looked at with you was showed the different
3	signals that were carried by each system.
4	And I want to if I took an excerpt
5	of just one of them and I want to show it to
6	you. This is an excerpt of Exhibit 1144, which
7	is the data for 2013.
8	And this is a system, you don't know,
9	but we've all talked about before, and it has
LO	two types of signals on it. Do you see that?
L1	It has KBTC, which, if you go over to station
L2	type description, is educational
L3	MR. OLANIRAN: Excuse me, Your Honor.
L4	You're right.
L5	MR. COSENTINO: Restricted? I'm
L6	sorry, Your Honor.
L7	JUDGE BARNETT: Okay. This portion of
L8	the transcript will be marked as restricted. I
L9	don't see anyone in the hearing room who needs
20	to be excluded at this time.
21	(Whereupon, the trial proceeded in
22	confidential session.)
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- JUDGE BARNETT: Mr. Dove?
- MR. DOVE: No questions, Your Honor.
- JUDGE BARNETT: Redirect,
- 5 Mr. Olaniran?
- 6 REDIRECT EXAMINATION
- 7 BY MR. OLANIRAN:
- 8 Q. Good afternoon again, Mr. Horowitz. I
- 9 have a couple of very quick questions,
- 10 hopefully.
- 11 You testified that you thought
- 12 examples were helpful, did you not?
- 13 A. Yes.
- 14 Q. And when you were -- you spoke -- when
- 15 you were answering some of the questions by
- 16 Ms. Mace and she referenced the fact that news
- 17 and community events in your questioning did
- 18 not have particular examples, do you recall
- 19 that?
- 20 A. Yes.
- Q. And you also testified that you didn't
- 22 raise that question with MPAA with regard to
- 23 examples for news and community events. Do you
- 24 recall that?
- 25 A. Yes.

- 1 Q. And from a survey practitioner's
- 2 perspective, did it matter to your -- to your
- 3 survey that you didn't have an example for
- 4 news?
- 5 A. No.
- 6 Q. And why is that?
- 7 A. News is news.
- 8 Q. What do you mean?
- 9 A. News is, for example, the news.
- 10 Q. As in it's self-explanatory; is that
- 11 what you mean?
- 12 A. Yeah.
- 13 JUDGE STRICKLER: How about the other
- 14 part of that category, community events? Do
- 15 you think that's also self-explanatory?
- 16 THE WITNESS: Community events could
- 17 have used an explanation.
- 18 JUDGE STRICKLER: Thank you.
- 19 BY MR. OLANIRAN:
- 20 Q. Also during your conversation with
- 21 Ms. Mace, she asked you about certain programs
- that were mis-categorized on WGN-only systems
- as Program Suppliers' programs. Do you recall
- 24 that conversation?
- 25 A. Yes.

- 1 Q. Okay. And she asked whether you would
- 2 allocate to the other sports share in those
- 3 instances to the Commercial Television
- 4 category, to the extent the mis-categorized
- 5 programs were the only programs on WGNA; is
- 6 that correct? Do you remember that?
- 7 A. As a reasonable substitute.
- 8 Q. And I believe you said it would be an
- 9 estimate, a good estimation, something to that
- 10 effect. Do you recall that?
- 11 A. Yes.
- 12 Q. Okay. And the examples that she
- 13 showed you were on WGN-only systems. Do you
- 14 remember that?
- 15 A. Yes.
- 16 Q. Okay. And so do you recall
- 17 Mr. Trautman saying -- stating in his testimony
- 18 that WGN-only systems constituted about
- 19 30 percent of the -- of the universe of
- 20 systems?
- 21 A. Yes.
- Q. Okay. So which would mean that
- 23 70 percent of the other systems carried a
- 24 complement or different signals, including WGN,
- 25 correct?

- 1 A. Yes, possibly including WGN, yes.
- 2 Q. So now keeping that in mind, if you
- 3 were looking at -- if you were going to
- 4 reallocate, to the extent that you will at all
- 5 reallocate the share that you derived from
- 6 surveying WGN-only systems, when it constituted
- 7 only 30 percent of the universe, would you --
- 8 to the extent you're estimating, would you be
- 9 estimating the entire share derived for other
- 10 sports or just some portion thereof?
- 11 A. As I think I said, only taken from the
- instances in where the error occurred.
- 13 Q. Now, in response to some questioning
- 14 from Mr. Garrett, he asked you -- he gave you a
- 15 couple of examples where there were what one
- 16 would call low amounts of volume on WGNA. In
- one instance, I think it was two hours. In
- another instance, I think it was 30 minutes.
- 19 And he asked whether you would have changed
- 20 your choice of using an other sports category.
- 21 Do you recall that?
- 22 A. Yeah.
- 23 Q. And you said that it would not have.
- 24 Do you recall that?
- 25 A. Yes.

- 1 Q. And why did you say that it wouldn't
- 2 have?
- 3 A. It's -- it's -- there's something for
- 4 our respondents to evaluate as far as whatever
- 5 is compensable on those.
- 6 Q. And is that premised on your testimony
- 7 that respondents are deemed knowledgeable of
- 8 the content on their systems?
- 9 A. In this -- in our emulation of the
- 10 Bortz survey, they are deemed knowledgeable to
- 11 do the constant sum and evaluate the content
- that they are buying and evaluating.
- 13 Q. And did you read in the Bortz survey
- 14 the extent to which Mr. Trautman said the
- 15 respondents were knowledgeable about their
- 16 content?
- 17 A. I'm sorry, could you repeat the
- 18 question?
- 19 Q. I said, did you read Mr. Trautman's
- 20 testimony?
- 21 A. Yes.
- 22 Q. And do you recall Mr. Trautman
- 23 discussing the extent to how very knowledgeable
- the respondents are?
- 25 A. Yes.

1	Ο.	Thank	vou	verv	much.
	\mathbf{v} .		,		

- 2 MR. OLANIRAN: I have no further
- 3 questions.
- JUDGE BARNETT: Thank you,
- 5 Mr. Olaniran.
- 6 Mr. Horowitz, thank you very much.
- 7 THE WITNESS: Thank you.
- 8 (The witness stood down.)
- 9 JUDGE BARNETT: Does that conclude the
- 10 program for today?
- MS. PLOVNICK: Yes, Your Honor.
- MR. OLANIRAN: For Program Suppliers,
- 13 yes.
- 14 JUDGE BARNETT: Ms. Plovnick?
- MS. PLOVNICK: Ms. Hamilton will be
- 16 here on Monday.
- 17 JUDGE BARNETT: All right. Do we have
- 18 an estimate of how long her testimony will
- 19 take?
- MS. PLOVNICK: I mean, direct won't be
- 21 that long. But the other parties --
- JUDGE BARNETT: Okay.
- MR. GARRETT: Your Honor, we have
- 24 eight hours left on our time limit.
- 25 (Laughter.)

1	JUDGE BARNETT: By all means, let's
2	use it.
3	JUDGE STRICKLER: Do we have a witness
4	returning?
5	JUDGE BARNETT: No, I think
6	Ms. Hamilton is the last witness, correct?
7	MR. OLANIRAN: Yes.
8	MS. PLOVNICK: Yes, Your Honor.
9	JUDGE BARNETT: All right, thank you.
10	In that case, we will be at recess
11	until 9:00 o'clock Monday morning. Thank you.
12	(Whereupon, at 2:16 p.m., the trial
13	recessed, to reconvene at 9:00 a.m. on Monday,
14	March 19, 2018.)
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16	EXHIBIT NO: MARKE)/RECEIVED R	EJECTED
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1	EXHIBIT NO:	MARKED/RECEIVED	REJECTED
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3	1143	4174	
4	1144	4174	
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Τ	CERTIFICATE
2	
3	I certify that the foregoing is a true and
4	accurate transcript, to the best of my skill and
5	ability, from my stenographic notes of this
6	proceeding.
7	
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9	3-1818 Ja Mynllow
10	Date Signature of the Court Reporter
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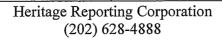
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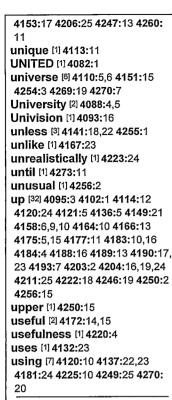
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